

# Sun-to-Earth Modeling of Coronal Mass Ejections with a Global MHD Model: Facilitating Physical Understanding and Space Weather Forecasting

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# Outline

- **Coronal Mass Ejections (CMEs)** are one of the major sources of destructive space weather. Our understanding of CMEs and their interplanetary propagation has been greatly improved over the last 46 years (e.g., *LRSP by Webb & Howard, 2012; a recent review book by Zhang+2018 based on ISEST project*).
- However, **insufficient observation** is still a limitation factor for deepening our theoretical understanding of CMEs. **First-principles-based numerical models** (e.g., *Usmanov & Dryer 1995, Wu+1999, Odstrcil+1999, Manchester+2004, Lugaz+2007, Toth+2007, Cohen+2008, Feng+2010, Shen+2011, Zhou+2012, Lionello+2013, van der Holst+2014, Pinto+2017, Scolini+2017, Torok+2018*) play a vital role in interpreting observations, testing theories, and providing forecasts.
- In this talk, we present the results based on several **realistic CME events** to demonstrate how the unique information provided by the MHD model could facilitate our **understanding of fundamental processes of solar and heliophysics**.
- We will also discuss how to **transfer a research model for operational space weather forecast** by determining model parameters from available near-Sun observations.

# Alfvén Wave Solar Model (AWS<sup>☀</sup>M)

$$\frac{\partial \rho}{\partial t} + \nabla \cdot (\rho \mathbf{u}) = 0 \quad (1)$$

$$\begin{aligned} \frac{\partial(\rho \mathbf{u})}{\partial t} + \nabla \cdot \left( \rho \mathbf{u} \mathbf{u} - \frac{\mathbf{B} \mathbf{B}}{4\pi} \right) + \nabla \cdot \left( p_p + p_e + \frac{w_+ + w_-}{2} + \frac{B^2}{8\pi} \right) \\ = -\rho \frac{GM_\odot}{r^2} \mathbf{e}_r \end{aligned} \quad (2)$$

$$\begin{aligned} \frac{\partial \left( \frac{p_e}{\gamma-1} \right)}{\partial t} + \nabla \cdot \left( \frac{p_e}{\gamma-1} \mathbf{u} \right) = -p_e \nabla \cdot \mathbf{u} + \frac{2}{\tau_{pe}} (p_p - p_e) \\ - \nabla \cdot \mathbf{q}_e - Q_{\text{rad}} + \alpha Q_w \end{aligned} \quad (3)$$

$$\begin{aligned} \frac{\partial \left( \frac{p_p}{\gamma-1} \right)}{\partial t} + \nabla \cdot \left( \frac{p_p}{\gamma-1} \mathbf{u} \right) = -p_p \nabla \cdot \mathbf{u} + \frac{2}{\tau_{pe}} (p_e - p_p) \\ + (1 - \alpha) Q_w \end{aligned} \quad (4)$$

$$\frac{\partial w_\pm}{\partial t} + \nabla \cdot [w_\pm (\mathbf{u} \pm \mathbf{u}_A)] = -\frac{w_\pm}{2} \nabla \cdot \mathbf{u} - \Gamma_\pm w_\pm \quad (5)$$

$$\frac{\partial \mathbf{B}}{\partial t} - \nabla \times (\mathbf{u} \times \mathbf{B}) = 0 \quad (6)$$

- Developed within Space Weather Modeling Framework (**SWMF**; Toth et al. 2005, 2012) at University of Michigan.
- Coronal heating and solar wind accelerating by Alfvén waves. Physically consistent treatment of wave reflection, dissipation, and heat partitioning between the **electrons** and **protons**.
- Model starts from upper chromosphere including **heat conduction** (both collisional and collisionless) and **radiative cooling**.
- Adaptive mesh refinement (**AMR**) to resolve structures (e.g., current sheets, shocks).
- **Data-driven** inner boundary condition by synoptic magnetograms (e.g., GONG, MDI, HMI).

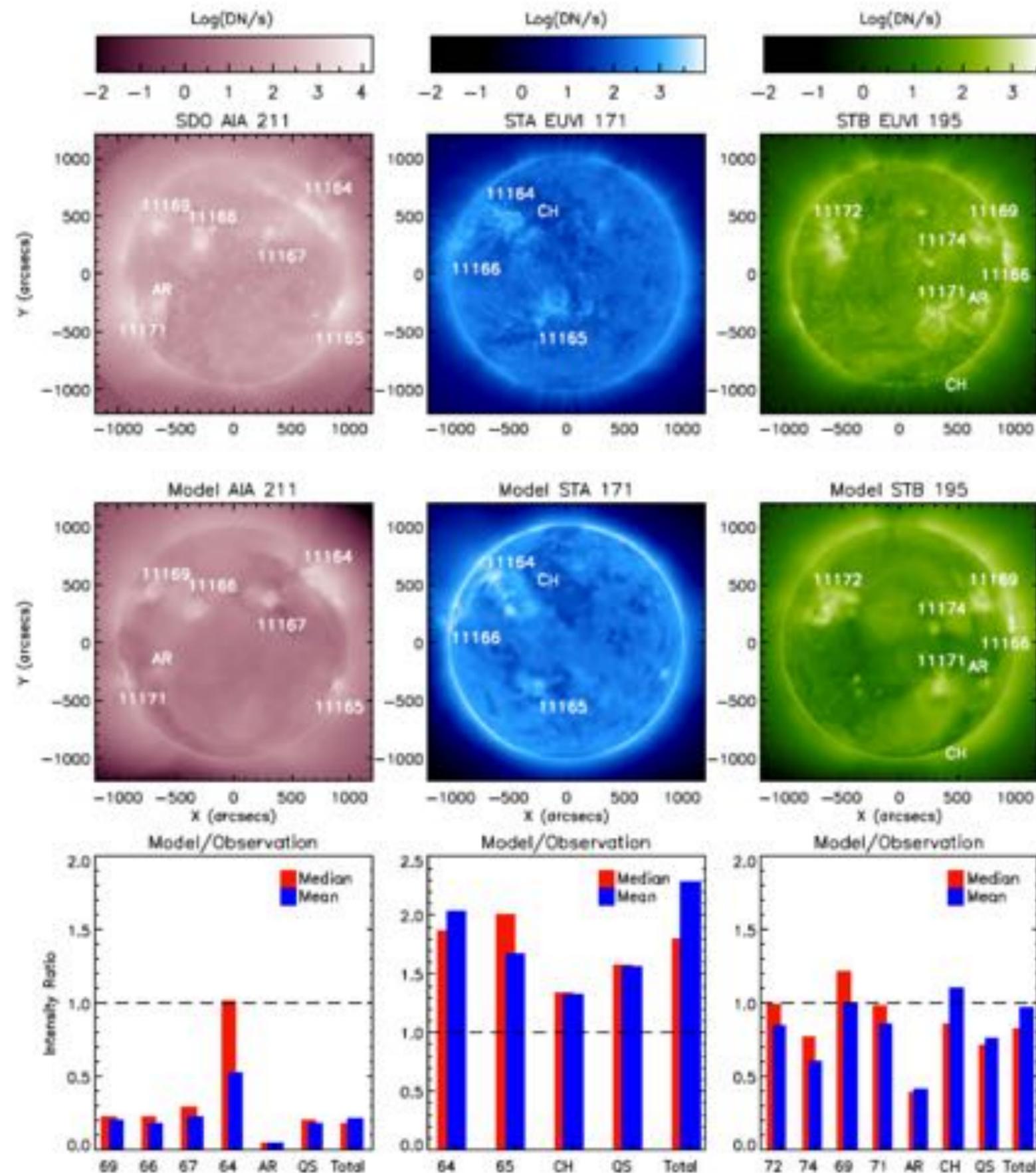
References: van der Holst et al. 2010, Manchester et al. 2012, Jin et al. 2012, Sokolov et al. 2013, Oran et al. 2013, Jin et al. 2013, van der Holst et al. 2014

# The Comparison between Observations and Synthesized EUV Images of the Steady State Solar Wind Model

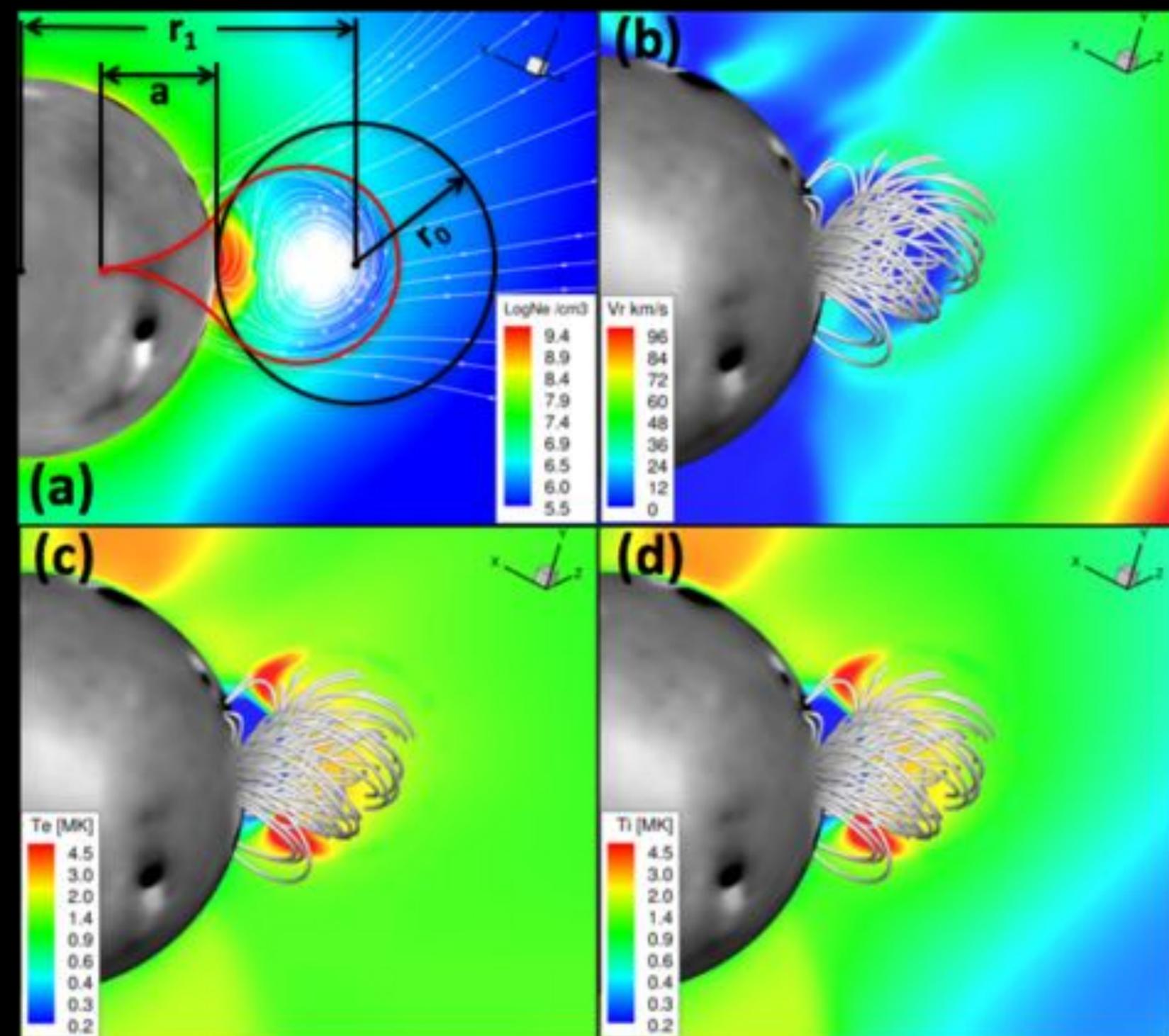
**Top panels:**  
Observational images from SDO AIA 211, STEREO A EUVI 171, and STEREO B EUVI 195. The observation time: **2011 March 7 ~20:00 UT.**

**Middle panels:**  
Synthesized EUV images of the model.

**Bottom panels:**  
Quantitative comparison between the model and observation for different structures of the Sun.



# Gibson-Low Flux Rope



- Analytical profiles of the GL flux rope are obtained by finding a solution to the **magnetohydrostatic equation** and the **solenoidal condition** (*Gibson & Low 1998*) through **mathematical stretching transformation**.
- The transformed flux rope appears as a tear-drop shape of twisted magnetic flux.
- Lorentz forces are introduced, which leads to a density-depleted cavity in the upper portion and a dense core at the lower portion of the flux rope (**3-part CME density structure**).

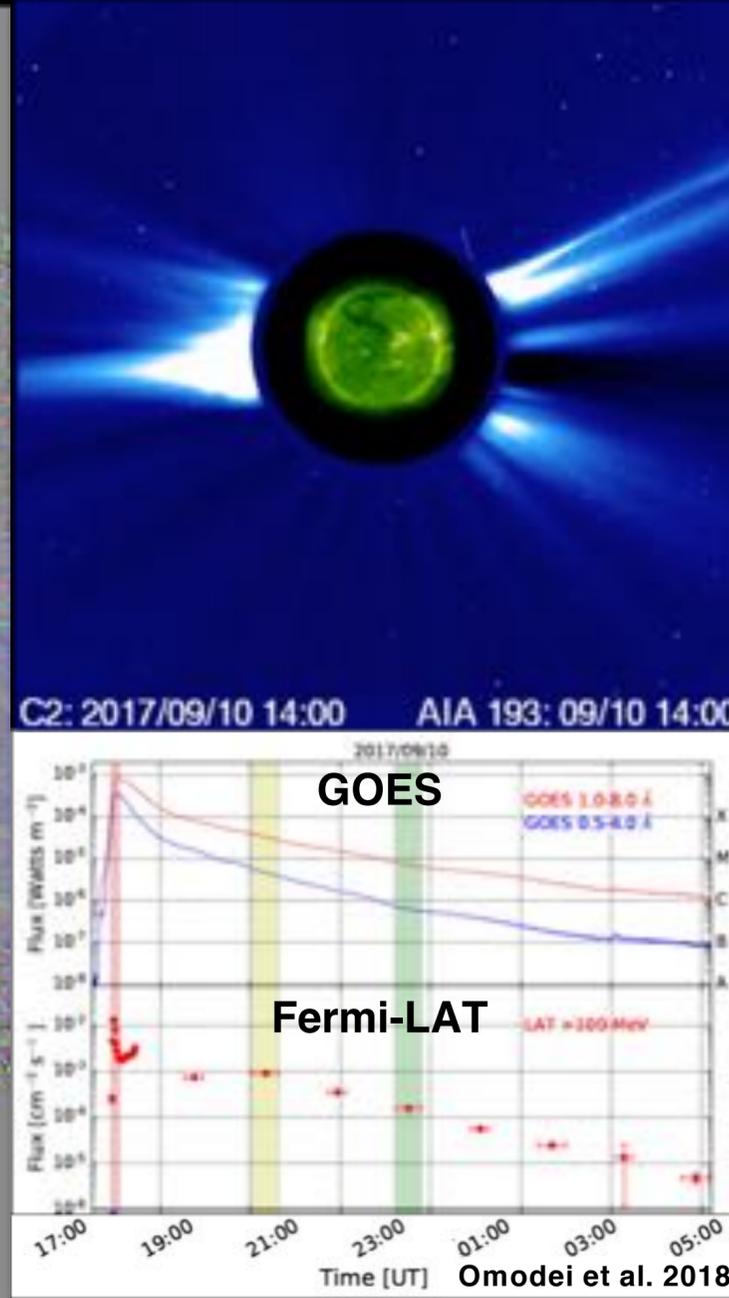
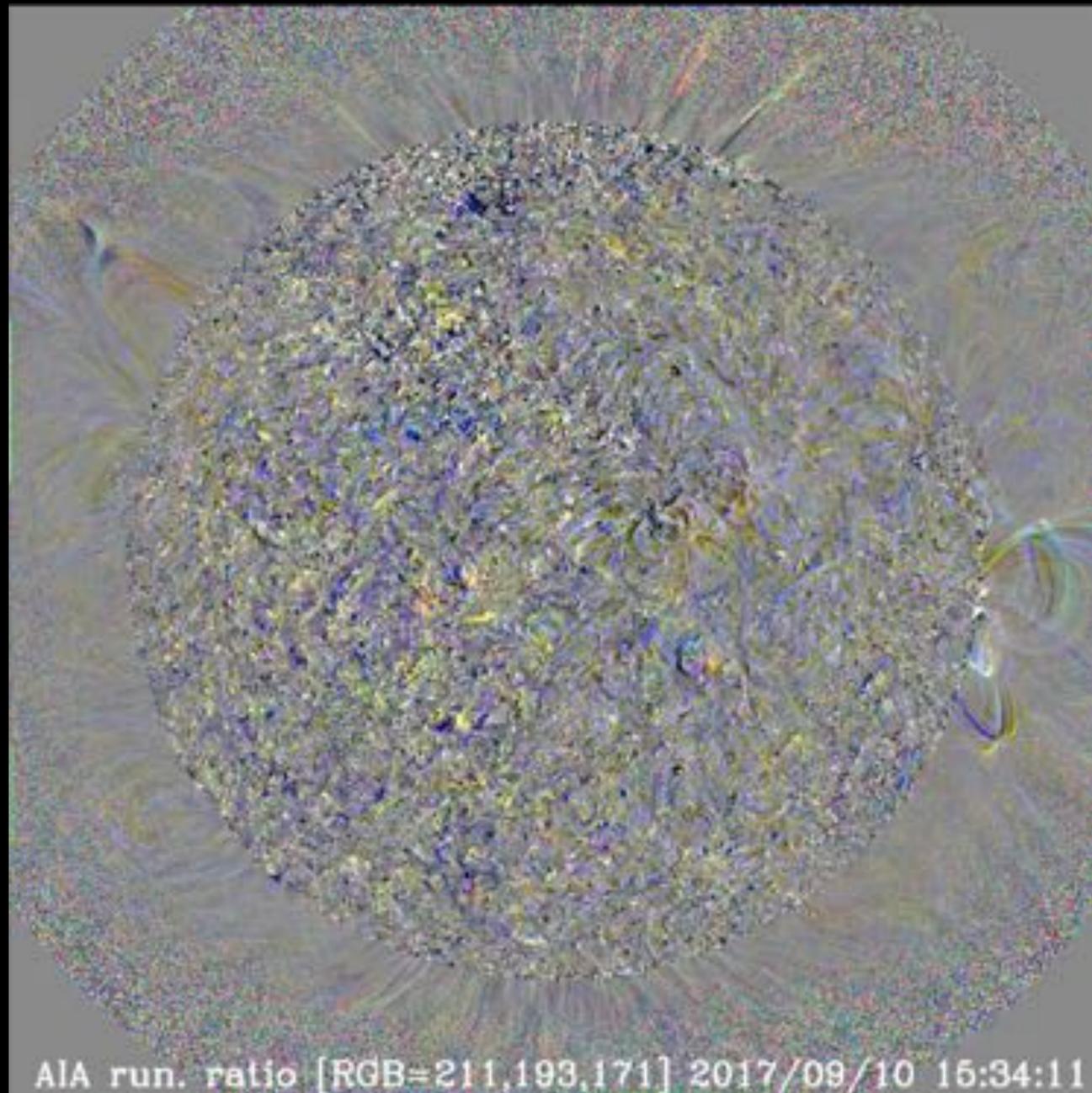
The GL Flux Rope is determined by **5** parameters:

- **$a$** : determines the **shape** of the flux rope
- **$r_1$** : determines the **initial position** of the flux rope before it is stretched
- **$r_0$** : determines the **size** of the flux rope
- **$a_1$** : determines the **magnetic strength** of the flux rope
- **Helicity Parameter**: determines the positive (dextral)/negative (sinistral) helicity

# CME Events

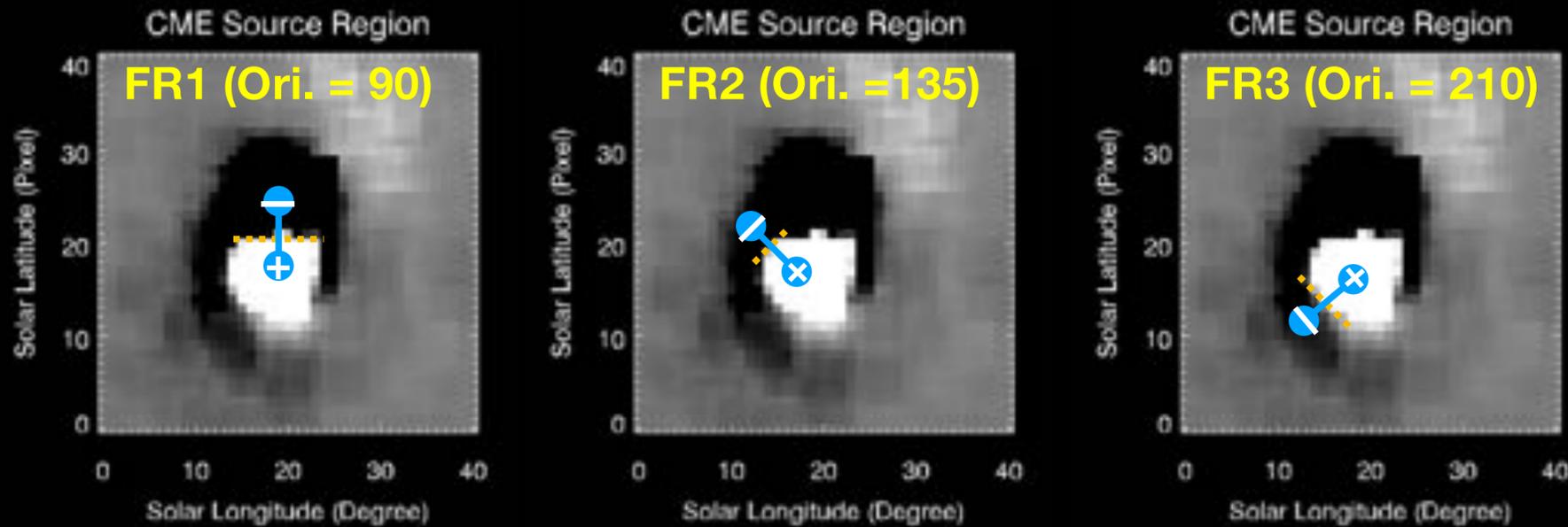
- In this talk, we will present four realistic CME events simulation, following each event, we focus on different physical processes during the CME evolution from the Sun to 1 AU.
- **Event I: 2017 September 10 (New Campaign Event)**
  - Global EUV waves [**Impulsive Phase**]
- **Event II: 2011 February 15**
  - Coronal Dimming [**Impulsive Phase, Residual Phase**]
- **Event III: 2014 September 1 (Fermi Behind-the-limb Event)**
  - CME-driven shocks, Gamma-ray emission [**Impulsive Phase**]
- **Event IV: 2011 March 7**
  - Shock connectivity and in-situ SEP, CME propagation in the heliosphere [**Residual Phase, Propagation Phase**]

# 2017 September 10 X8.2 Event

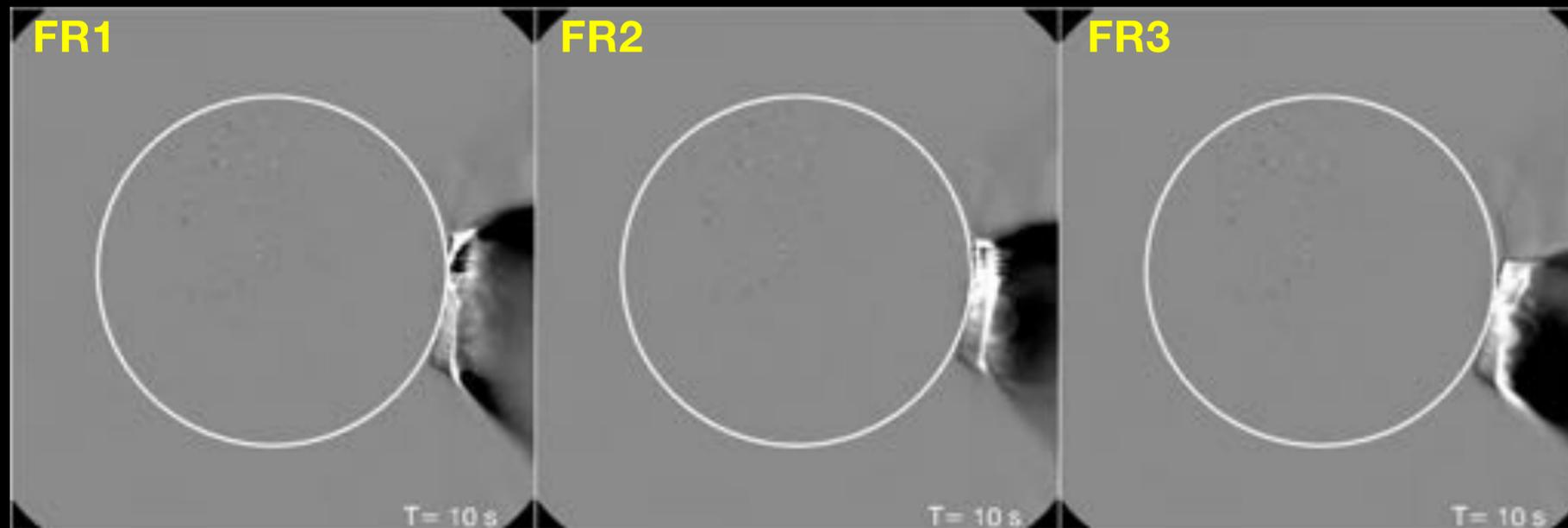


- 2017 September 10 X8.2 flare event is associated with spectacular **global EUV waves** that transverse the **entire** visible solar disk (*Veronig et al. 2018, Liu et al. 2018*).
- A CME with speed > **3000 km/s**, which is one of the fastest CMEs ever recorded.
- Solar Energetic Particles (**SEPs**) and Ground Level Enhancement (**GLE**) events at Earth.
- **Fermi-LAT** observed long-duration gamma-ray emission over **12 hours** (Omodei et al. 2018).

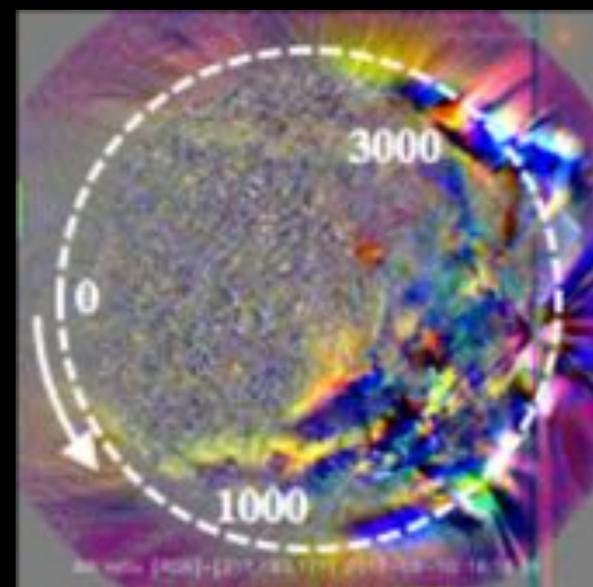
# Gibson-Low Flux Rope Setting



Synthetic AIA 211 Running Difference Movie



- 2017 September 10 event occurred at the **west limb**. Without direct observation of the source region, it is uncertain which **PIL** the eruption was initiated.
- Based on the magnetic field configuration of the source region, we initiate CMEs from three **PILs** with different flux rope orientations.
- **The resulting EUV waves show different features.**



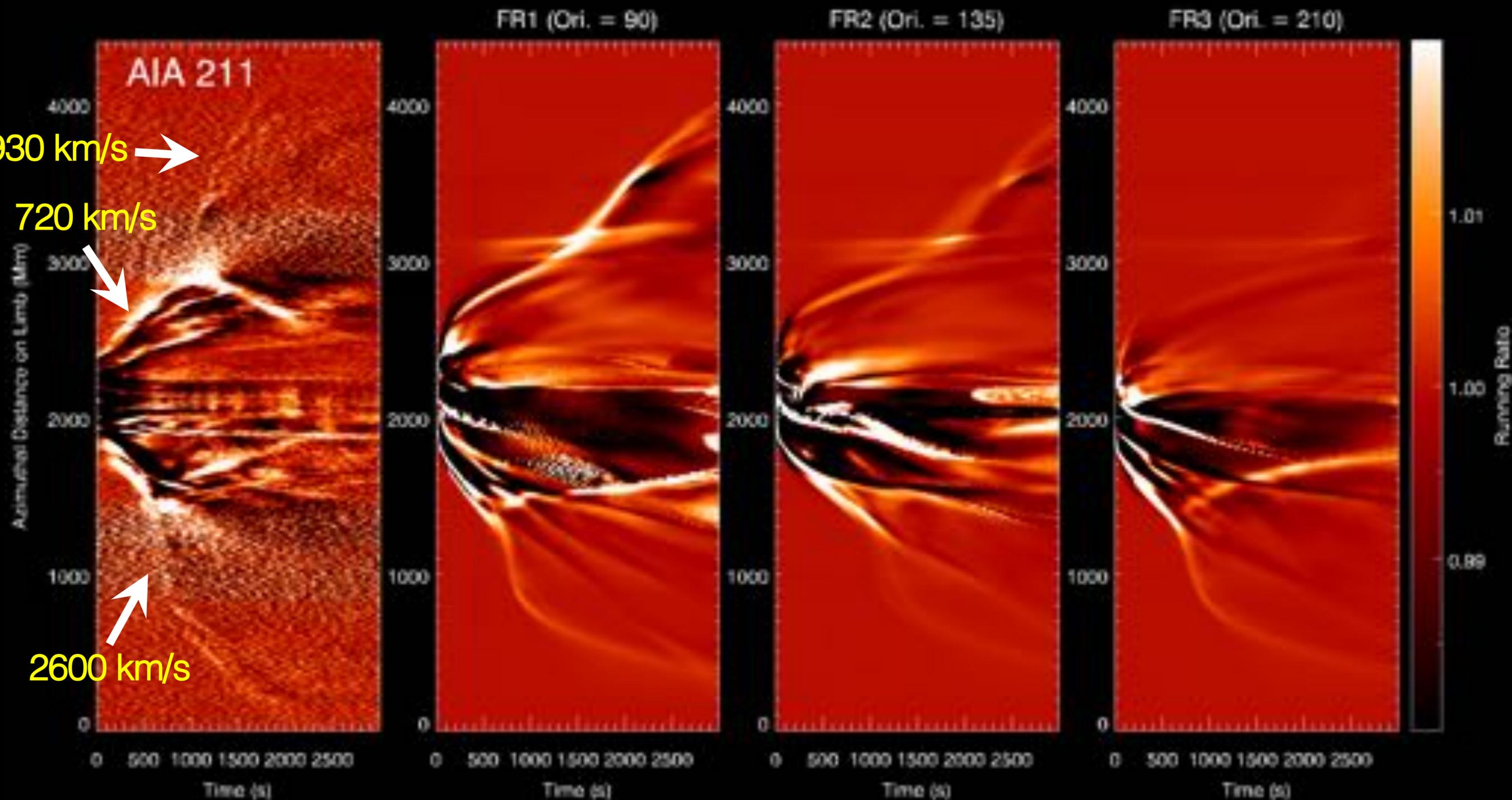
930 km/s →

720 km/s ↙

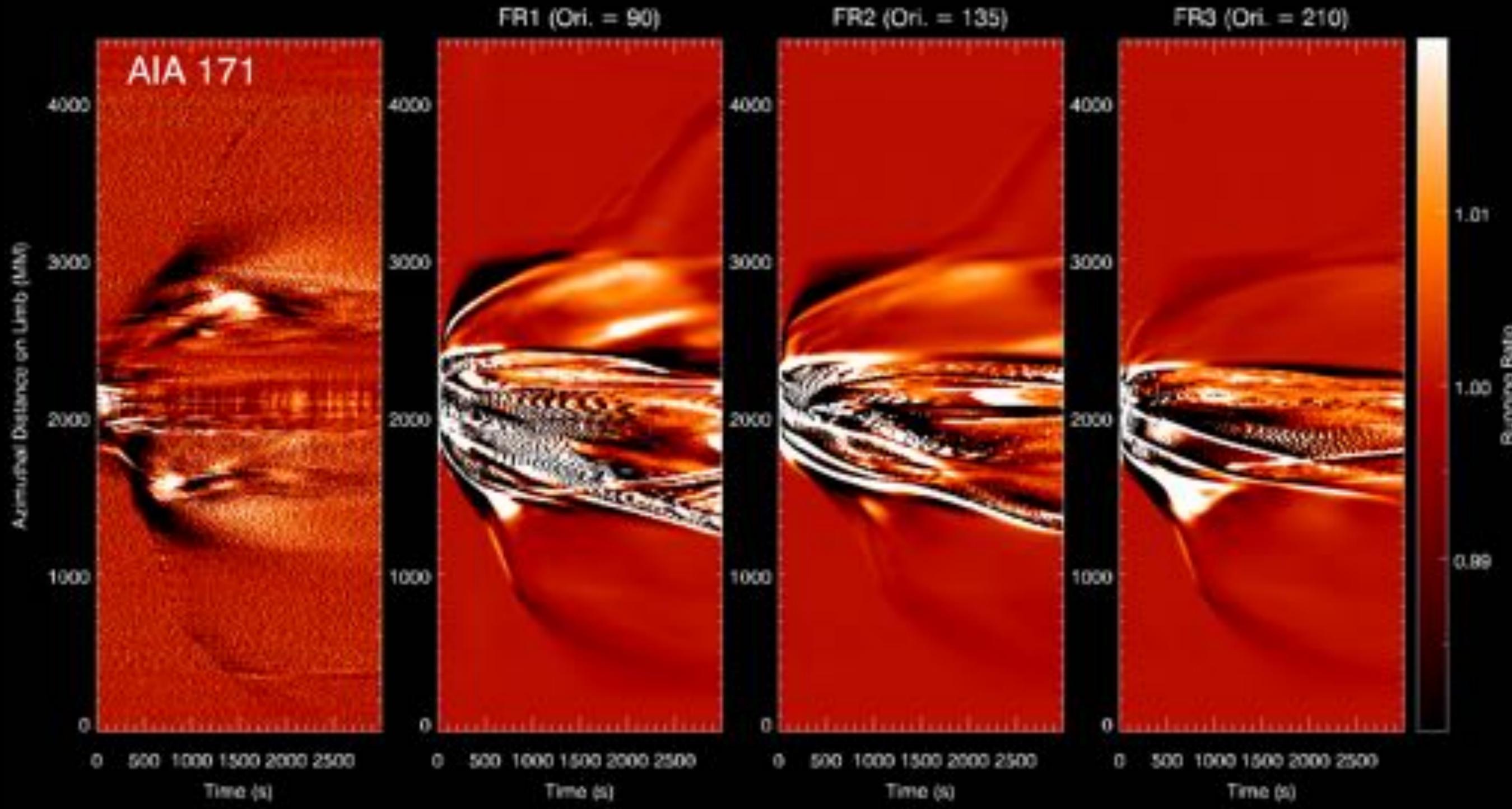
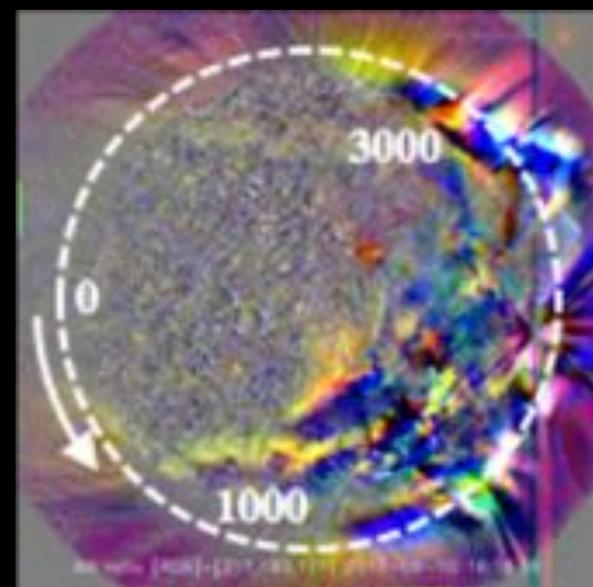
↗  
2600 km/s

Time-slice from the  
Over-limb  
Azimuthal Cuts

Distance  
measured from  
origin in CCW  
direction, then  
mapped down to  
the limb.



- With different flux rope orientations, the EUV waves show different features among three cases.
- In general, the flux rope with **90 degree** orientation reproduces observation better the other two cases.



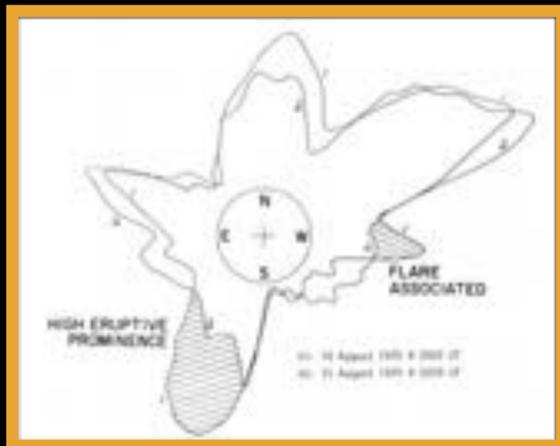
Time-slice from the Over-limb Azimuthal Cuts

Distance measured from origin in CCW direction, then mapped down to the limb.

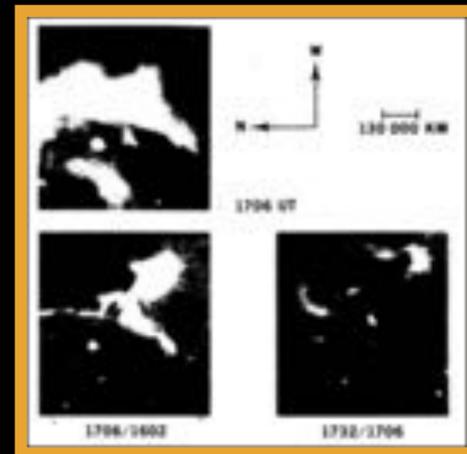
- The waves show darkening in 171 and brightening in 211, which suggest heating of the local plasma.
- The EUV waves observation from AIA allows us to constrain the erupting magnetic configuration.

# Coronal Dimming

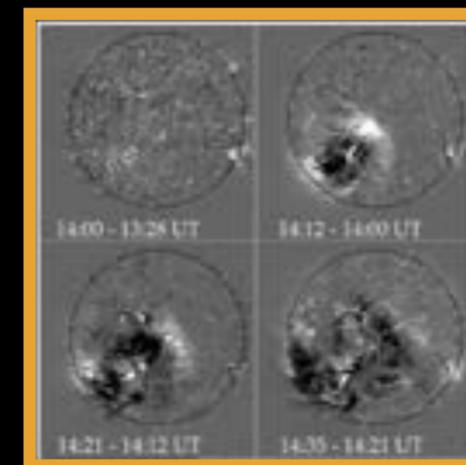
- Coronal dimming is **the reduction in intensity** on/near the solar disk across a large area, which has been observed in many wavebands (e.g., white-light, X-ray, EUV) of solar observation. And it is usually associated with **coronal EUV waves**.
- Spectroscopic observations confirmed that the dimmings are regions of **up-flowing expanding plasma** (e.g., *Harra & Sterling, 2001, Harra et al. 2007, Imada et al. 2007, Jin et al. 2009, Attril et al. 2010, Tian et al. 2012*). Both observation and MHD Modeling of solar coronal dimming (e.g., *Cohen et al. 2009, Downs et al. 2012*) suggest that the coronal dimming is mainly caused by the **CME-induced plasma evacuation**, and the spatial location is well correlated **the footpoints of the erupting magnetic flux system** (*Downs et al. 2015*).
- **Solar observations suggest that all coronal dimmings were associated with CMEs**. Therefore, they might encode important information about CME's mass, speed, energy etc. (e.g., *Hudson et al. 1996, Sterling & Hudson 1997, Harrison et al. 2003, Zhukov & Auchere 2004, Aschwanden et al. 2009, Cheng & Qiu 2016, Krista & Reinard 2017, Dissauer et al. 2018*).
- *Harra et al. (2016)* found **“coronal dimming is the only signature that could differentiate powerful flares that have CMEs from those that do not”**. Therefore, dimming might be one of the best candidates to observe the CMEs on distant Sun-like stars.



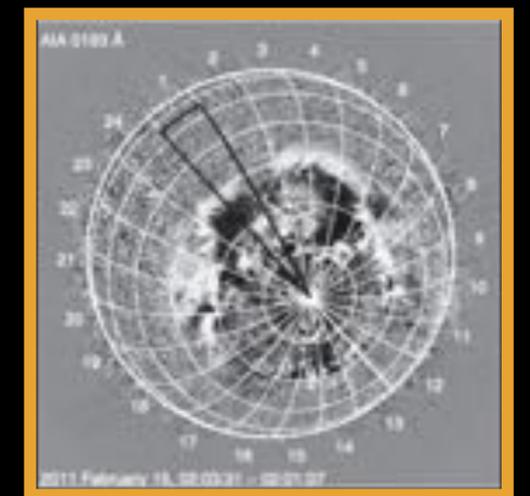
White-light corona  
“depletion”  
(Hansen et al. 1974)



X-ray  
“transient coronal holes”  
(Rust and Hildner, 1976)



EUV Dimming by SOHO/EIT  
(Thompson et al. 1999)

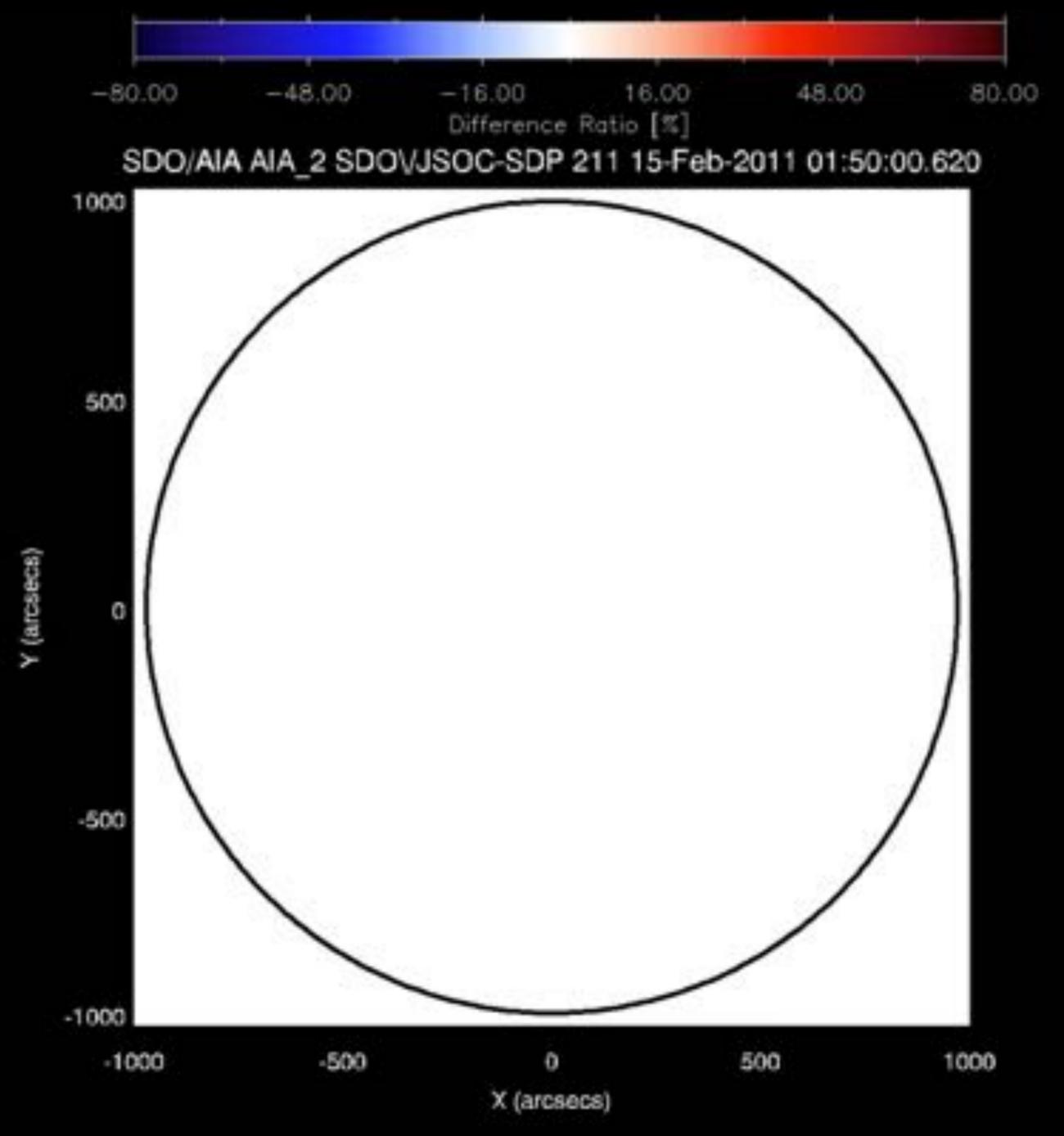
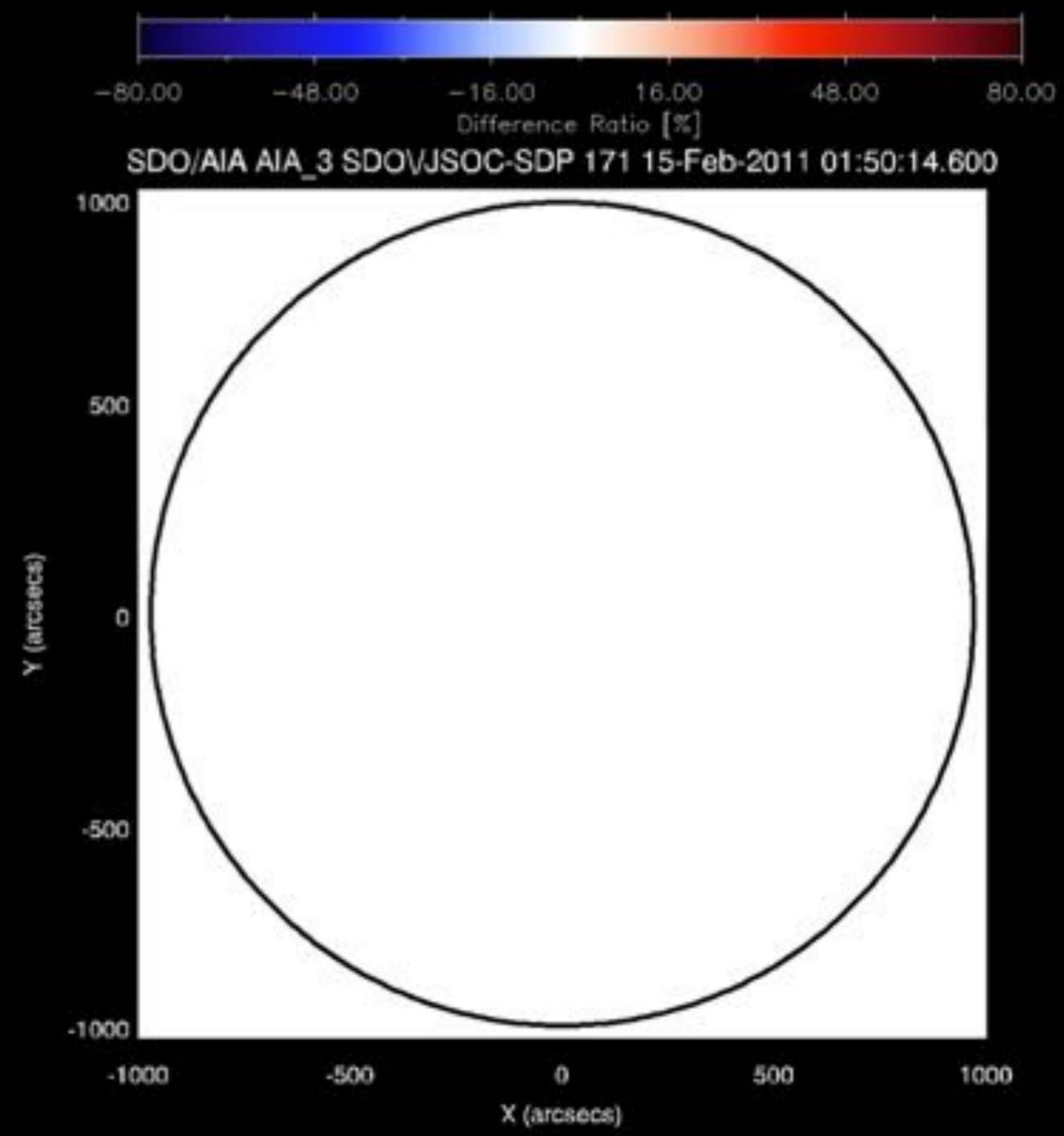


EUV Dimming by SDO/AIA  
(Nitta et al. 2013)

# Observed Coronal Dimming/Brightening

AIA 171 (T = 0.63 MK)

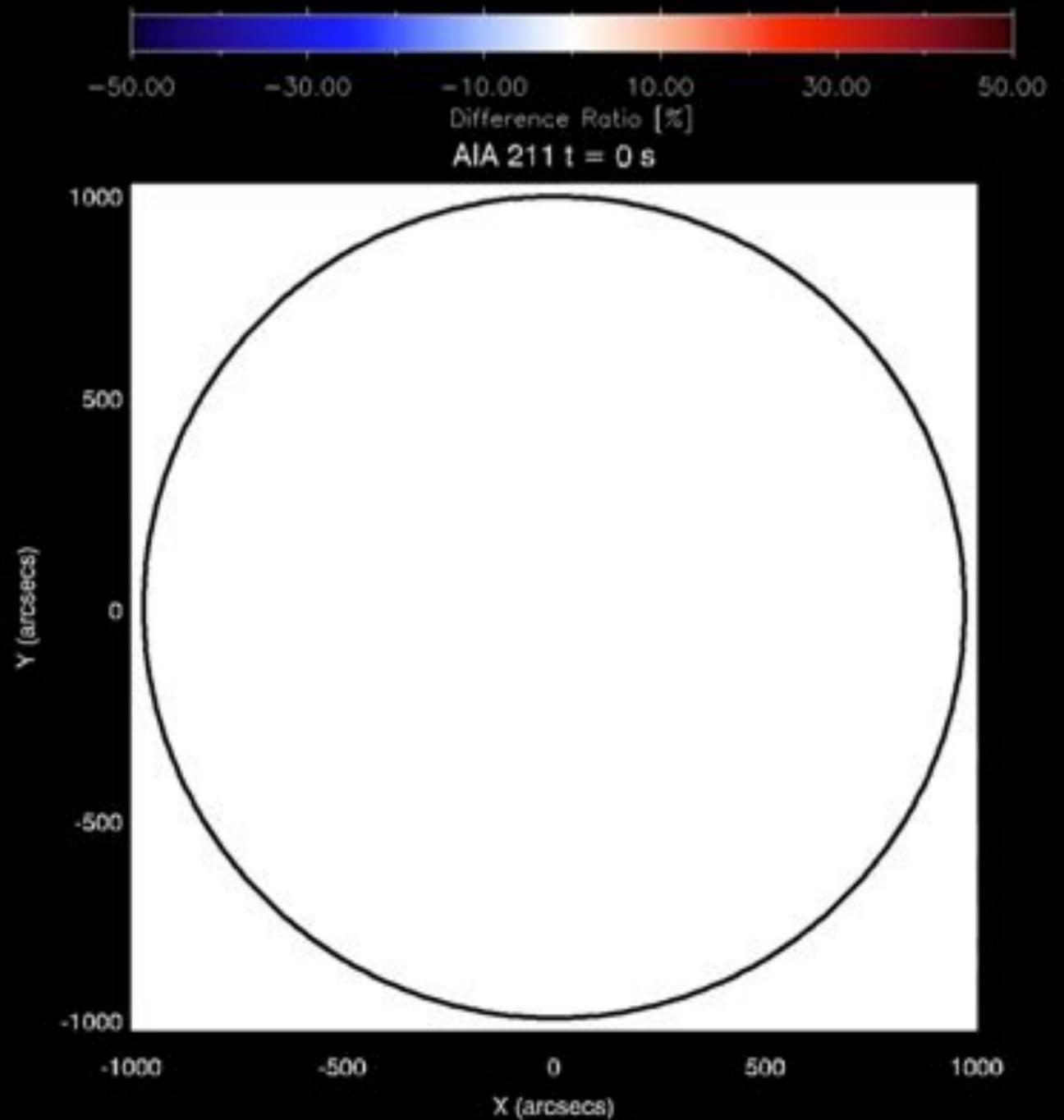
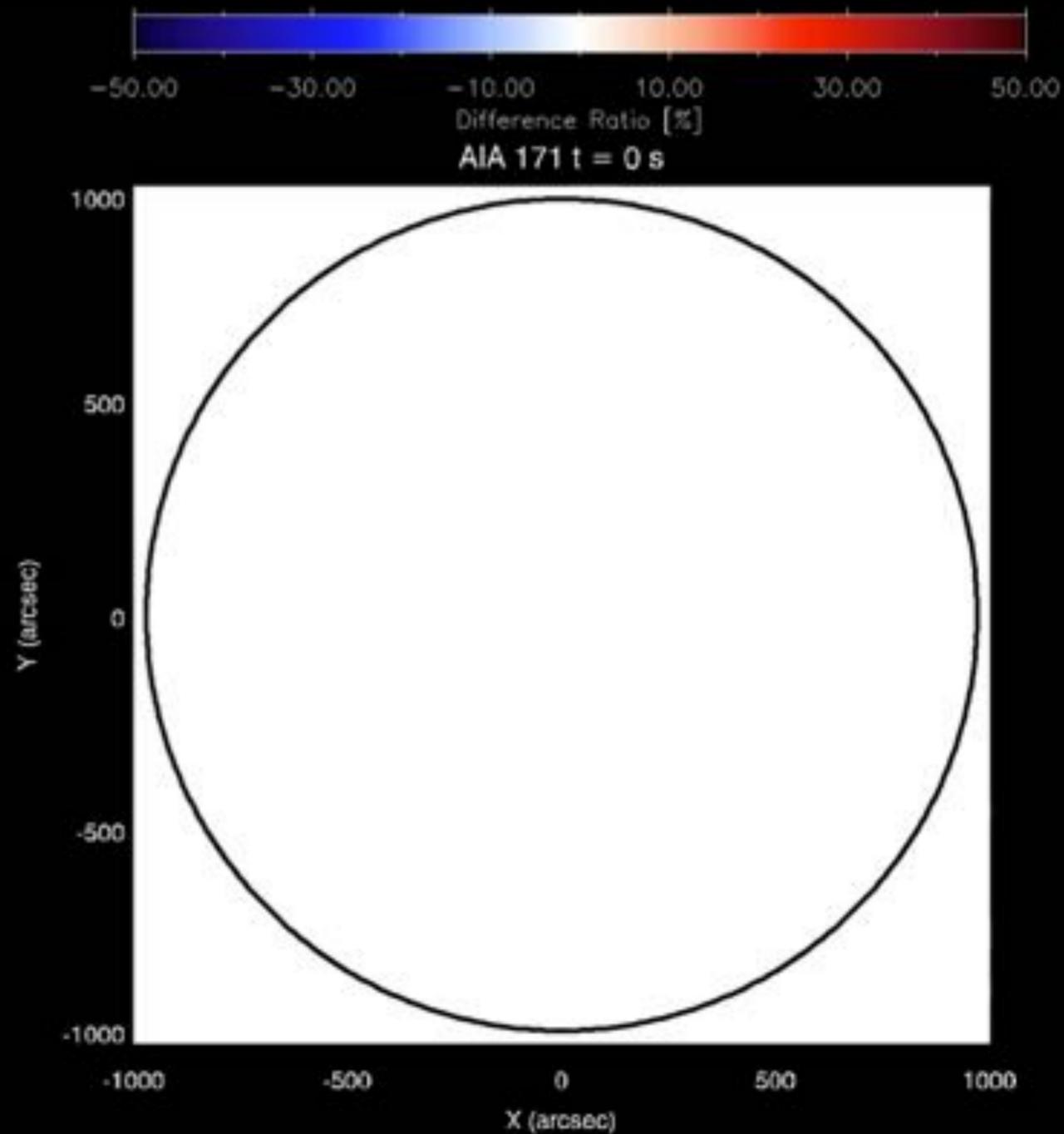
AIA 211 (T = 1.86 MK)



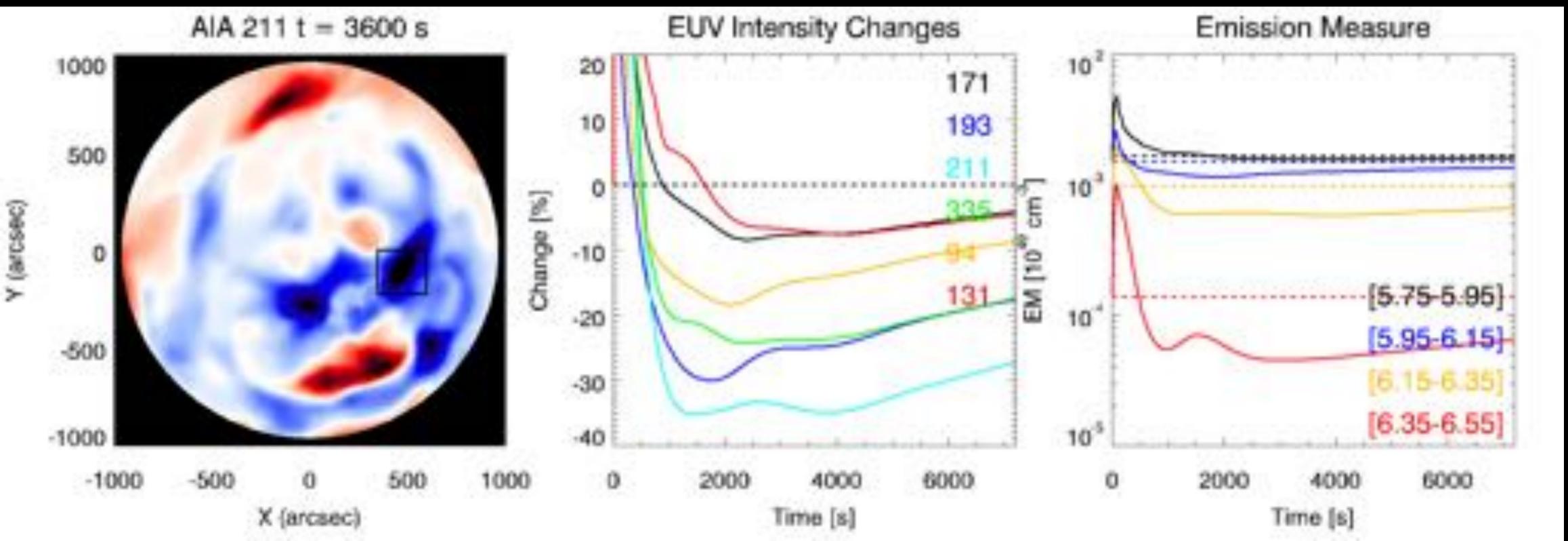
# Synthesized Coronal Dimming/Brightening

AIA 171 (T = 0.63 MK)

AIA 211 (T = 1.86 MK)

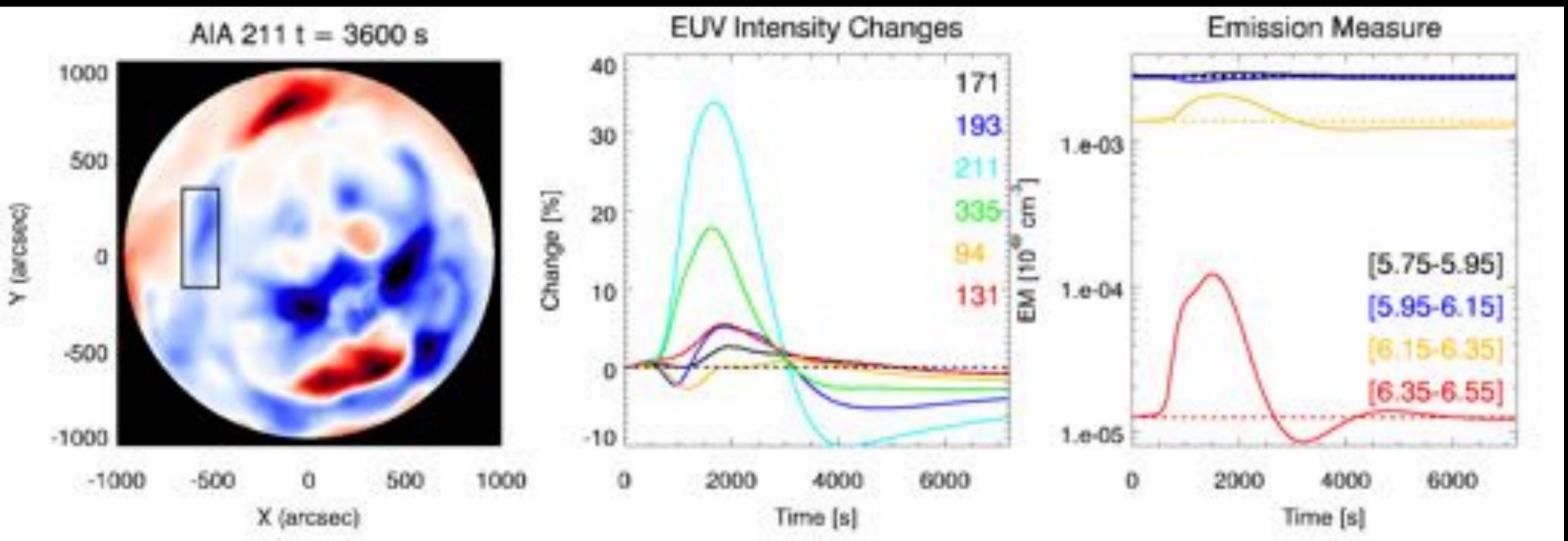


## Core Dimming



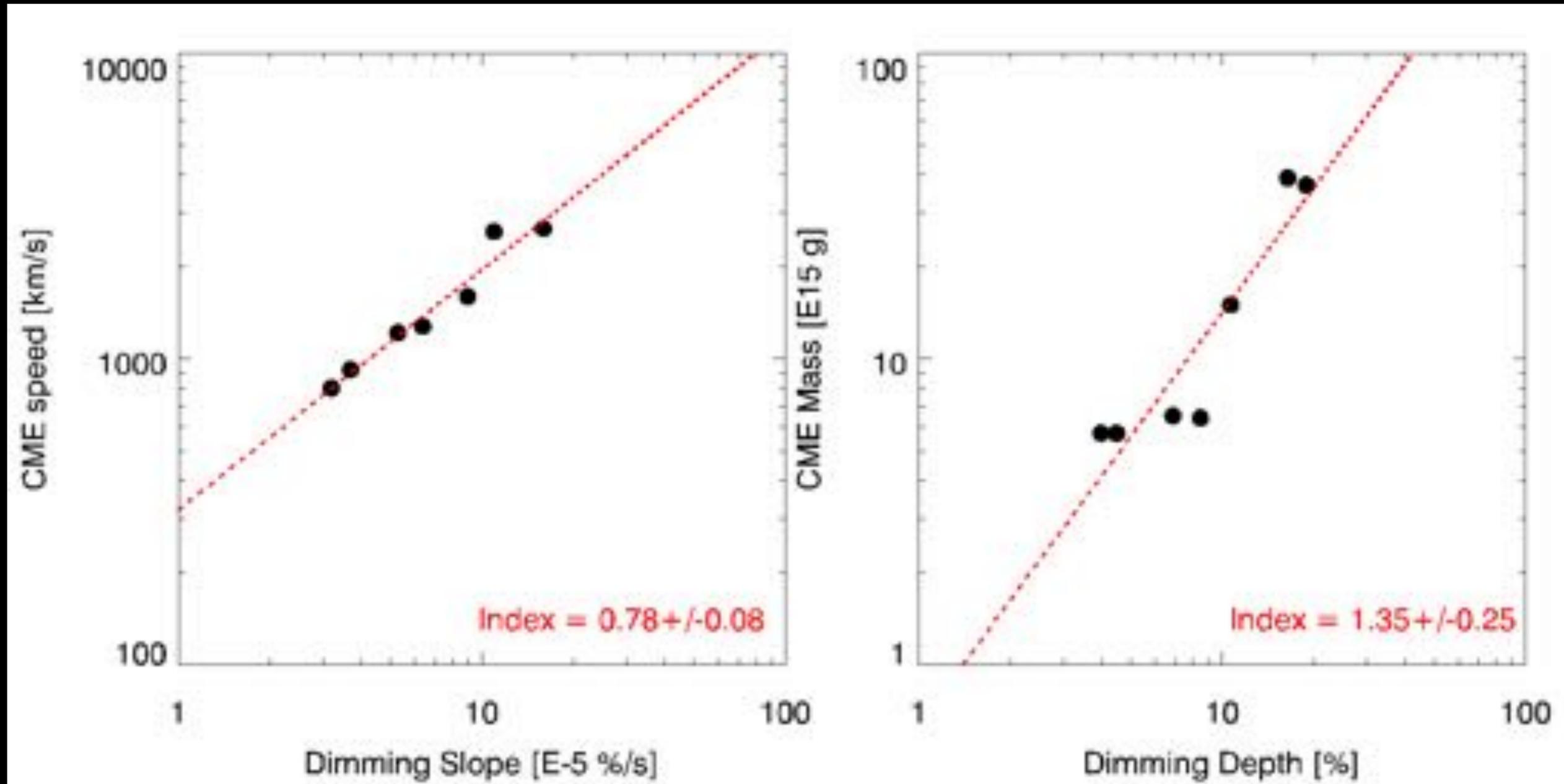
- **Emission Measure (EM)** calculated from the simulation data.
- **Core Dimming** (near the source region): Plasma depletion induced by CME.
- Dimming recovery time is estimated **~9-16** hours.

## Remote Dimming



- **Thermal Dimming / brightening** due to the plasma compression during the eruption phase.
- Dimming during the recovering phase corresponding to the open field region.

# Dimming Slope/Depth vs. CME Speed/Mass



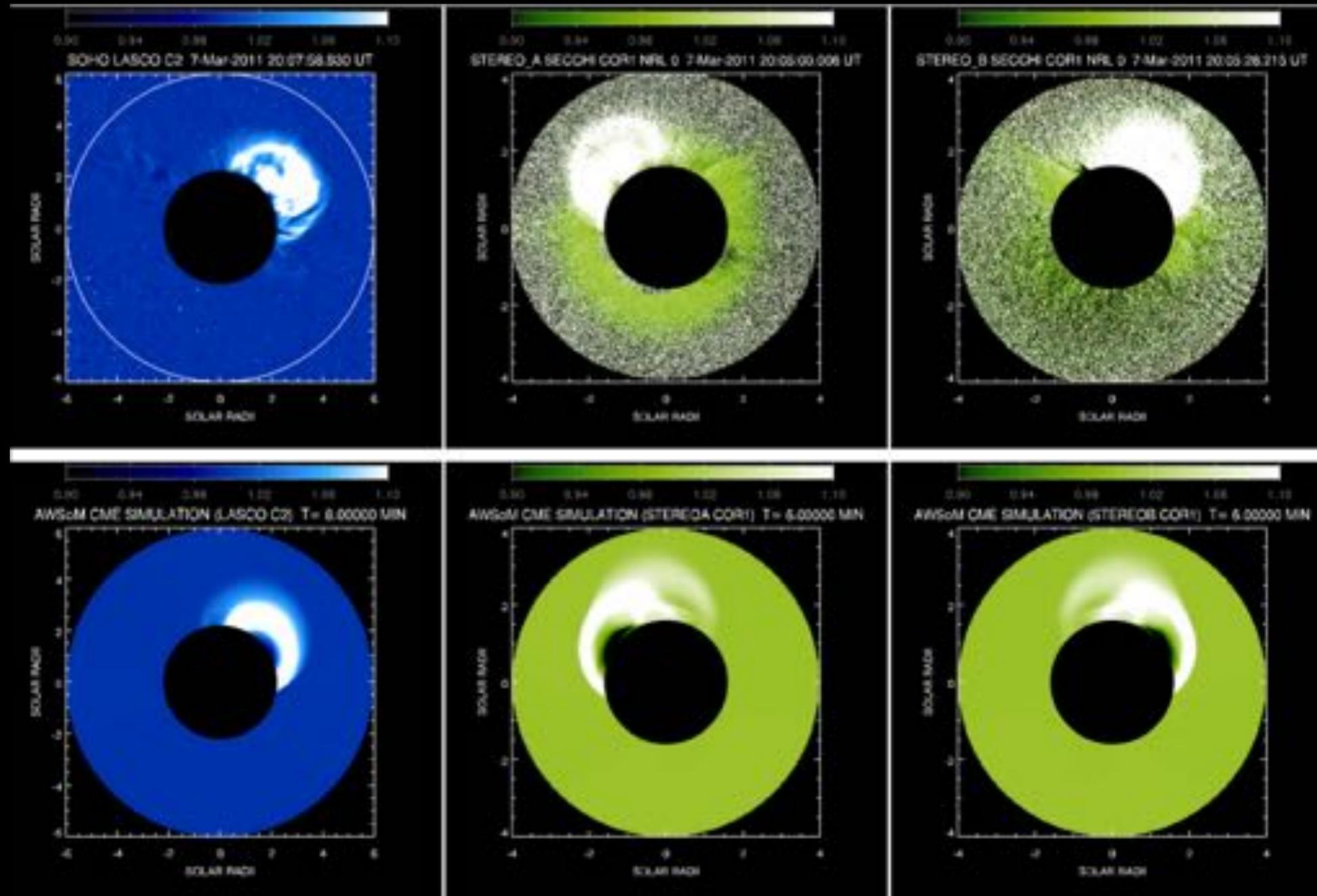
- Note that the simulation runs involve **different flux rope energies** and **flux rope orientations**.
- The simulation result is consistent with the findings of *Mason et al. (2016)* using SDO/EVE observations and *Dissauer et al. (2018)* using SDO/AIA observations.
- **The relationship can be used to estimate the CME speed and mass at the early stage of the eruption.**

# CME-driven Shocks (2011 March 7 Event)

LASCO C2

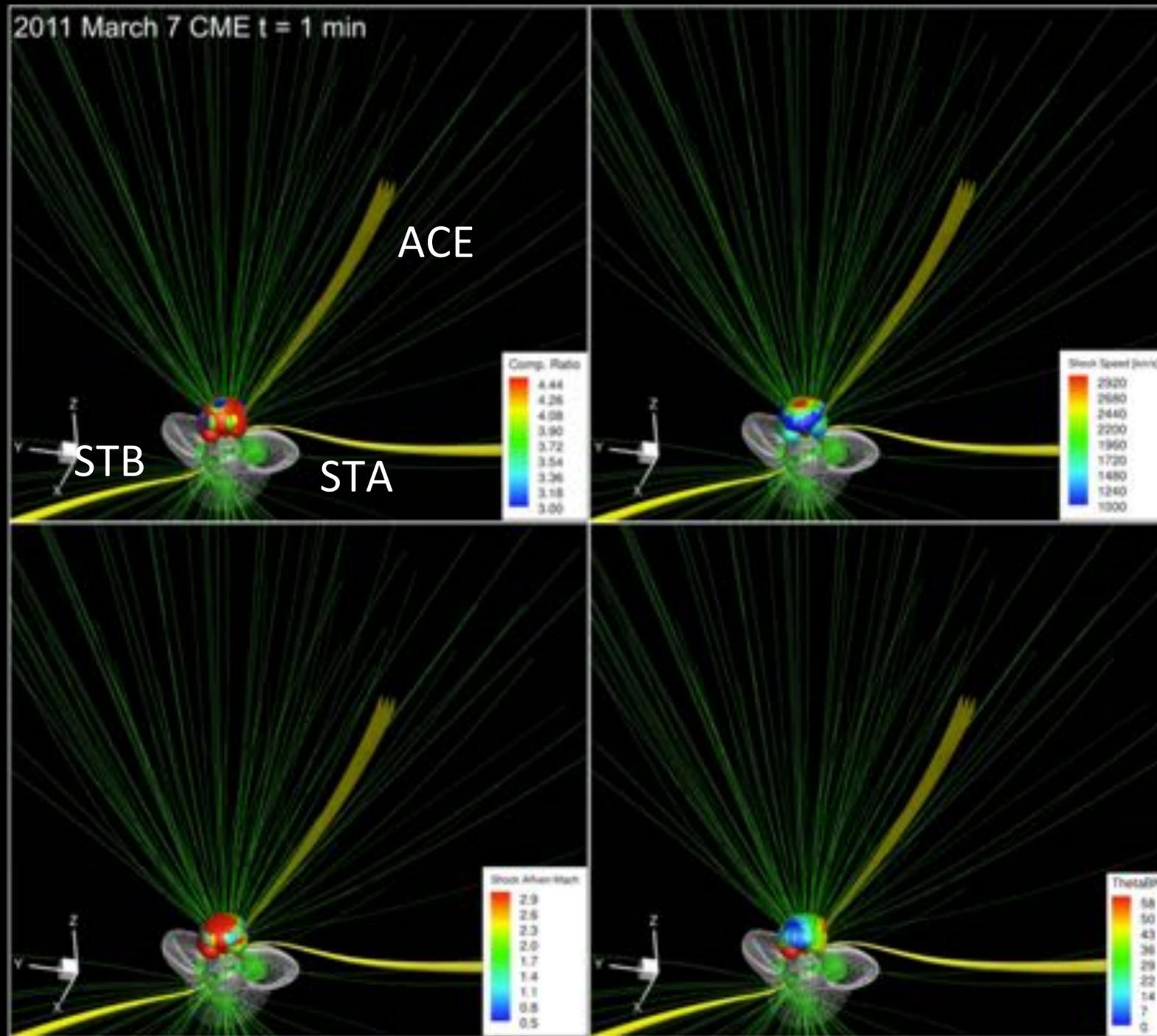
STA COR1

STB COR1



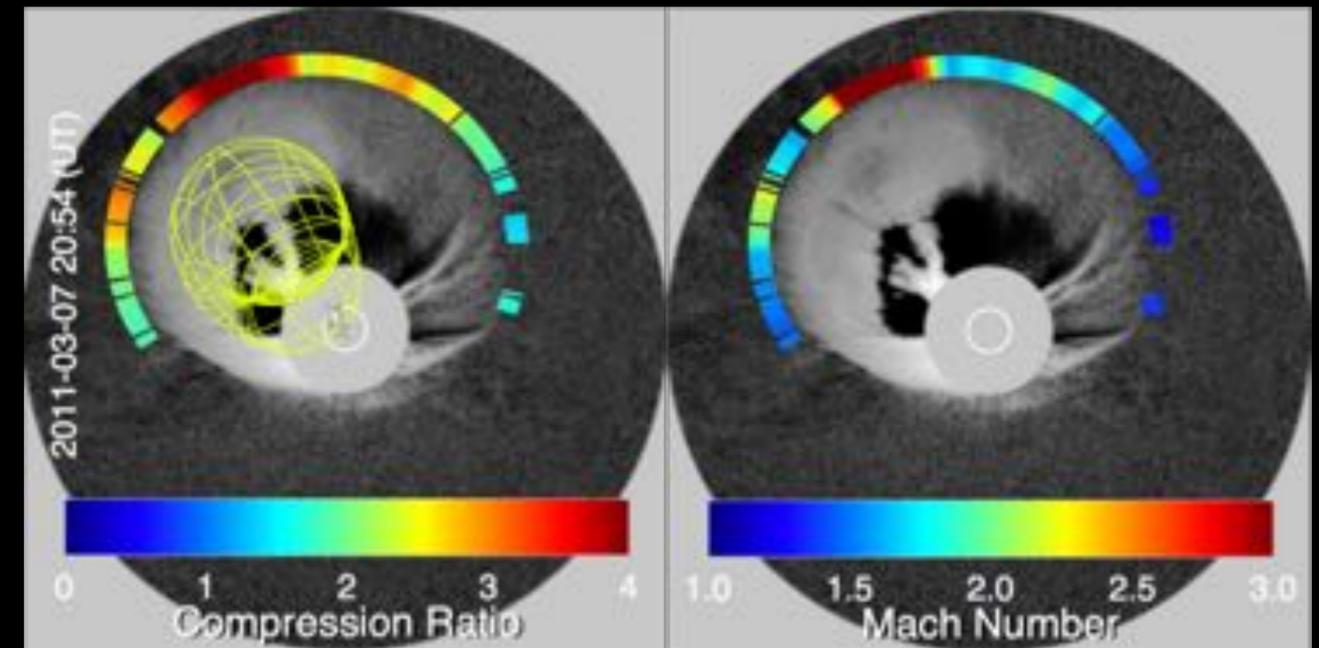
# CME-driven Shocks (2011 March 7 Event)

## Shock Evolution in the Simulation

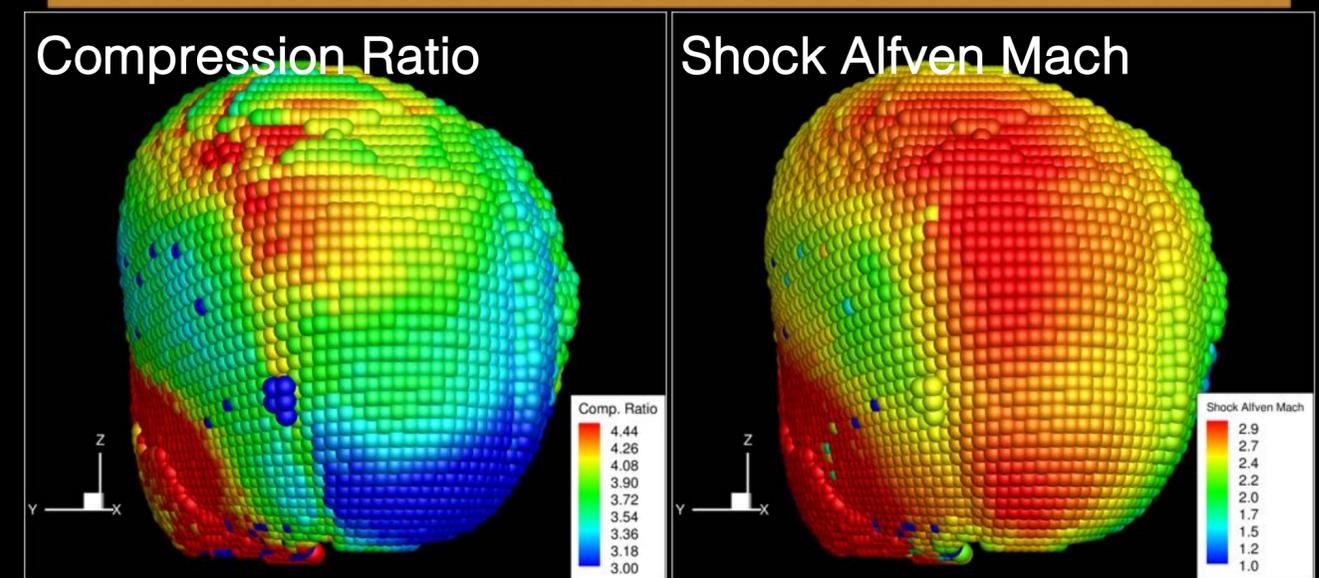


## Shock Parameters from Observation

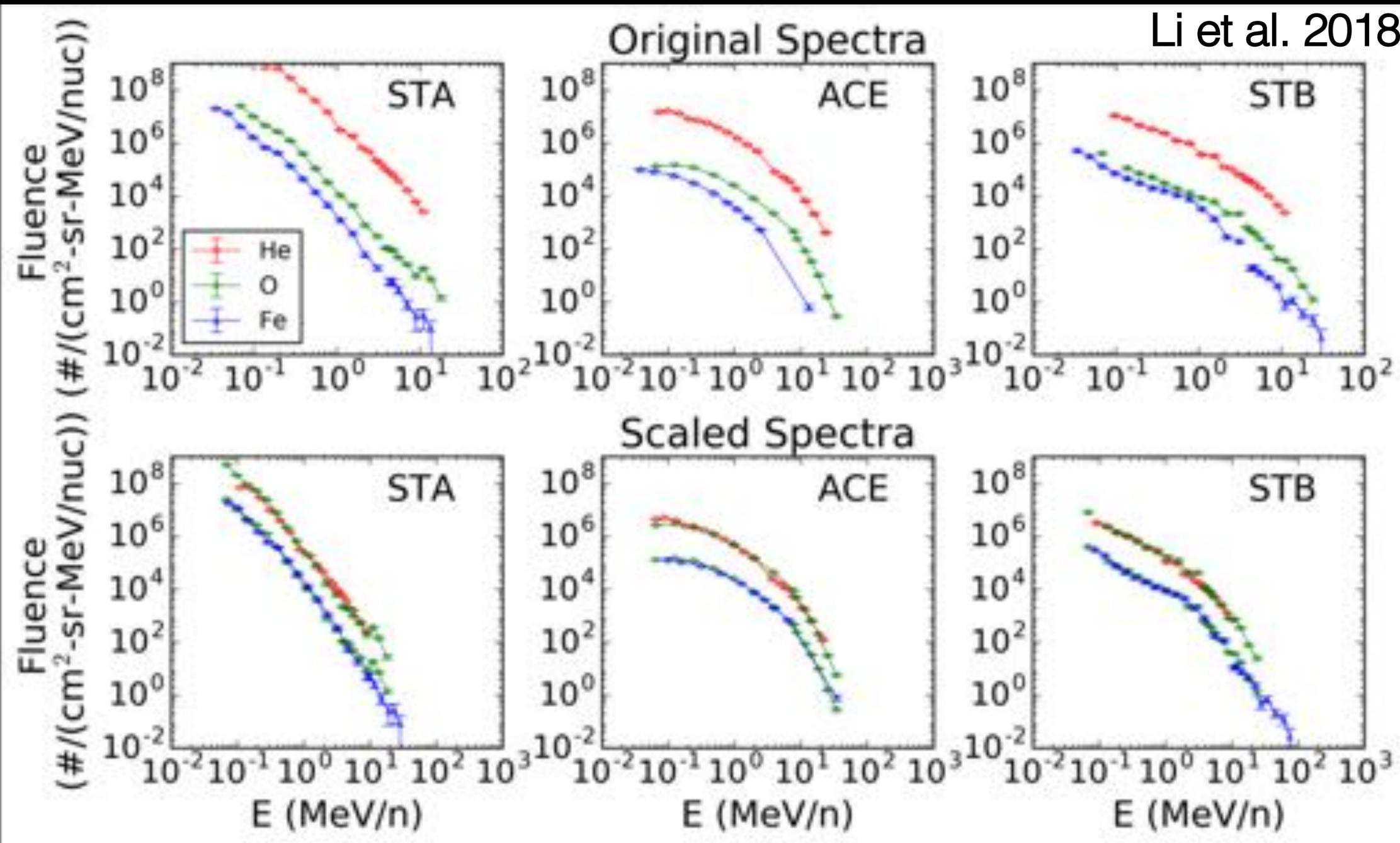
*Kwon et al. 2018*



## Shock Parameters from Simulation



# SEP Observation and Q/A Analysis



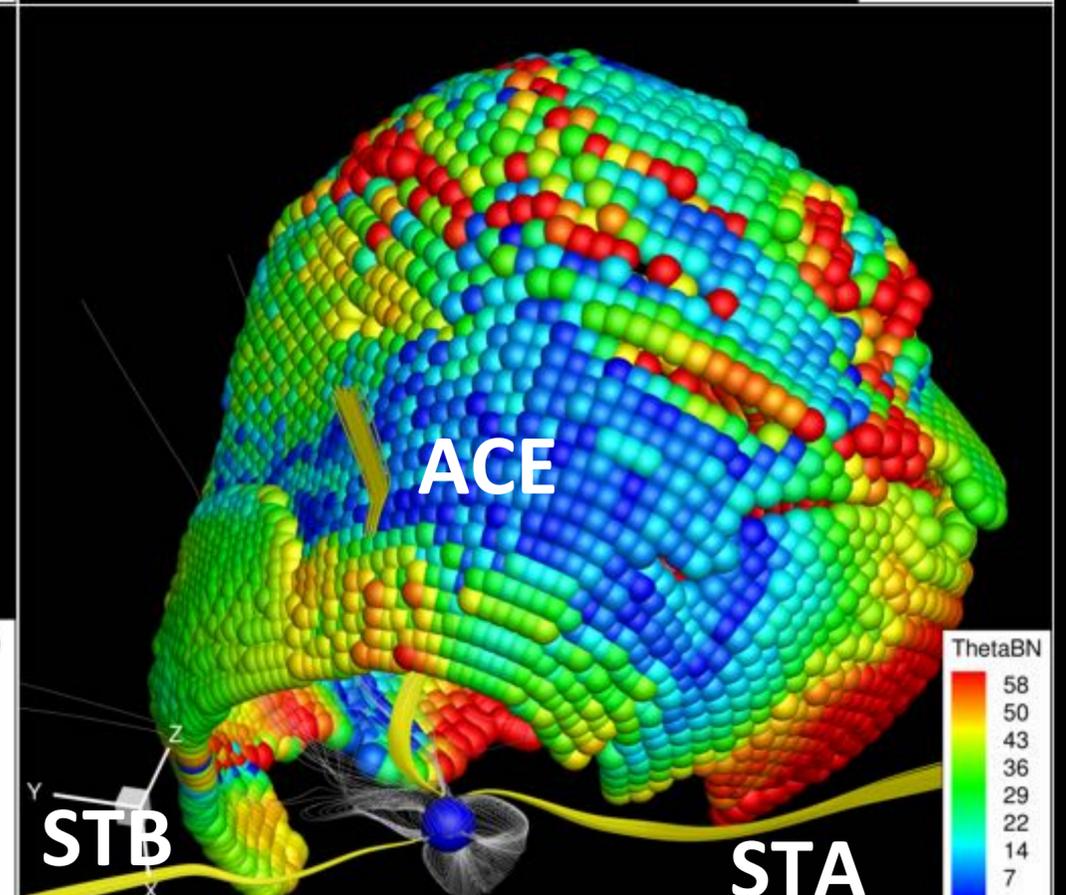
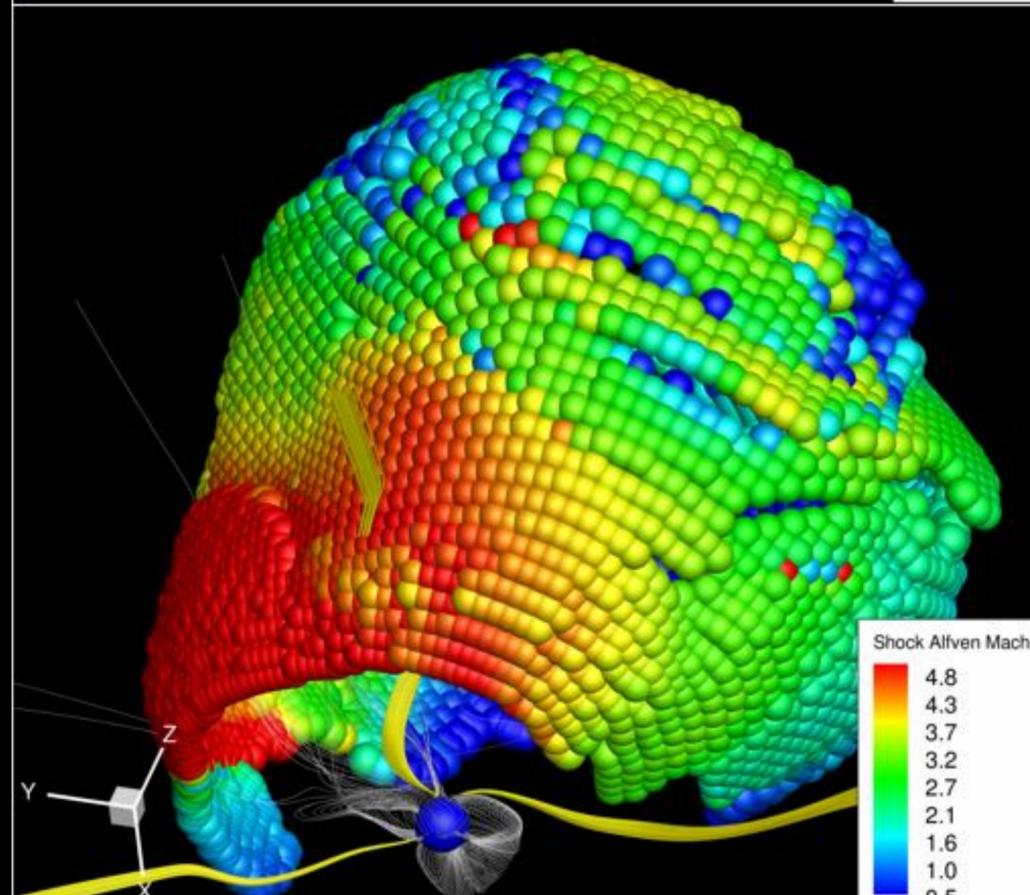
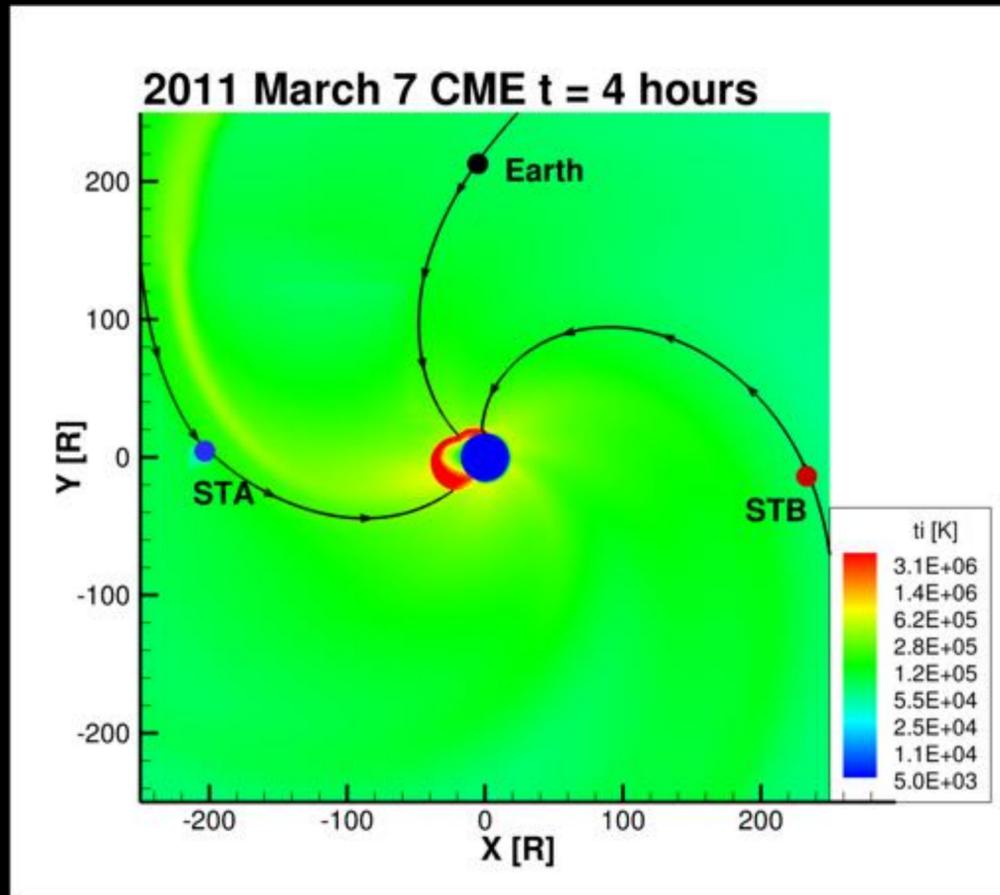
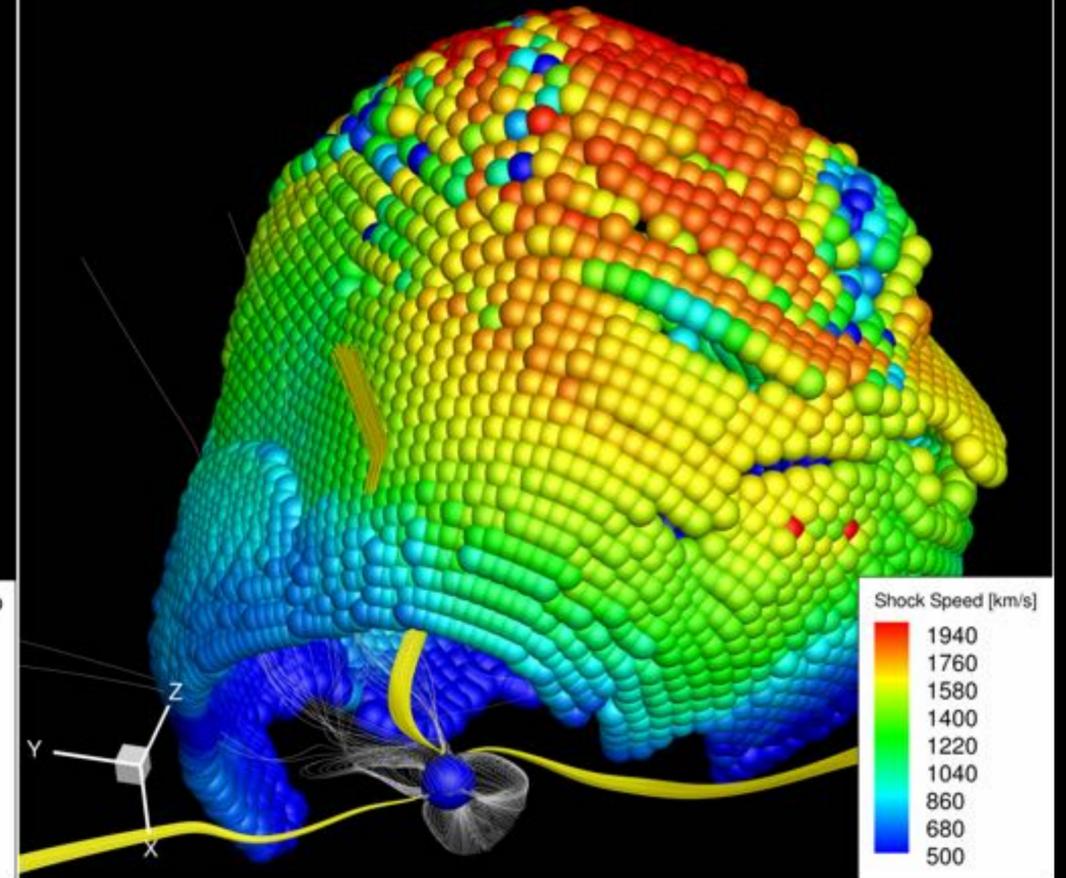
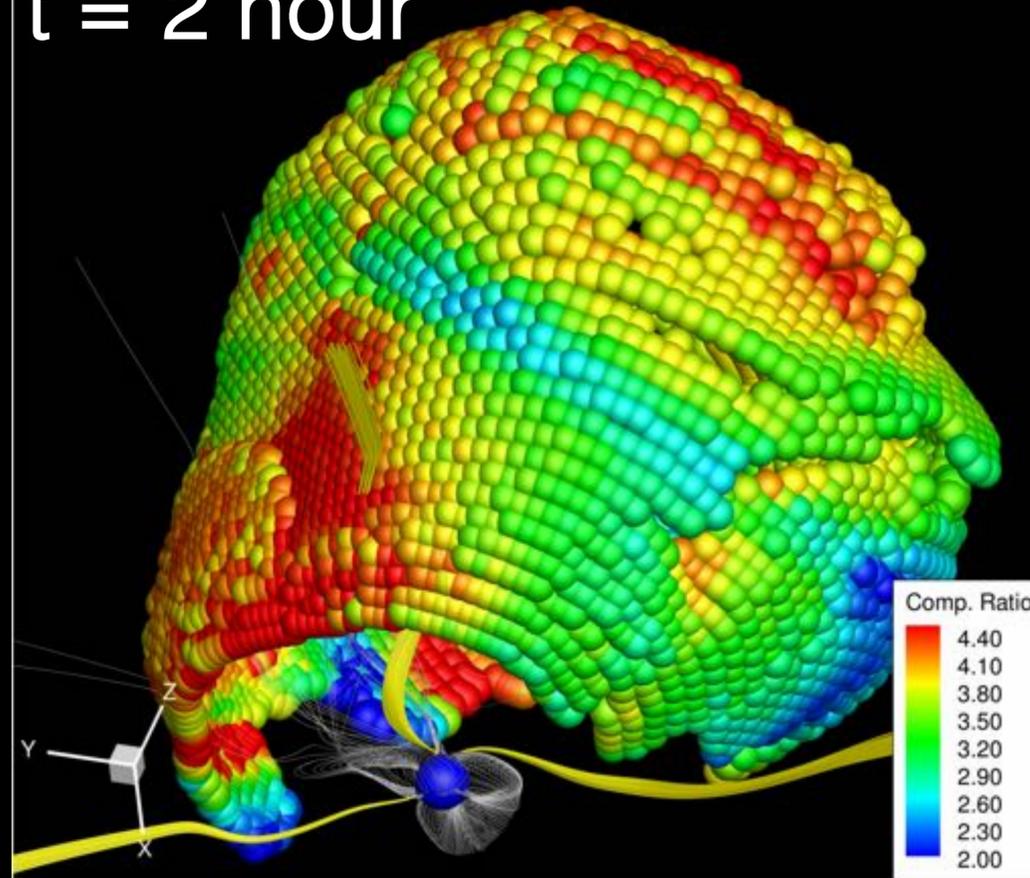
$$\frac{(Q/A)_i^\sigma}{(Q/A)_0^\sigma} = \frac{E_i}{E_0}$$

- The Q/A analysis (Li et al. 2009, Zhao et al. 2016) suggests that the shock connecting to **ACE is most parallel**, **STB is quasi-parallel** but more oblique.
- Event integrated spectra at STA are very power-law-like, showing no clear scaling. Further examination of STA data shows that there were a CIR at STA, which interacts with the CME (and its driven shock).

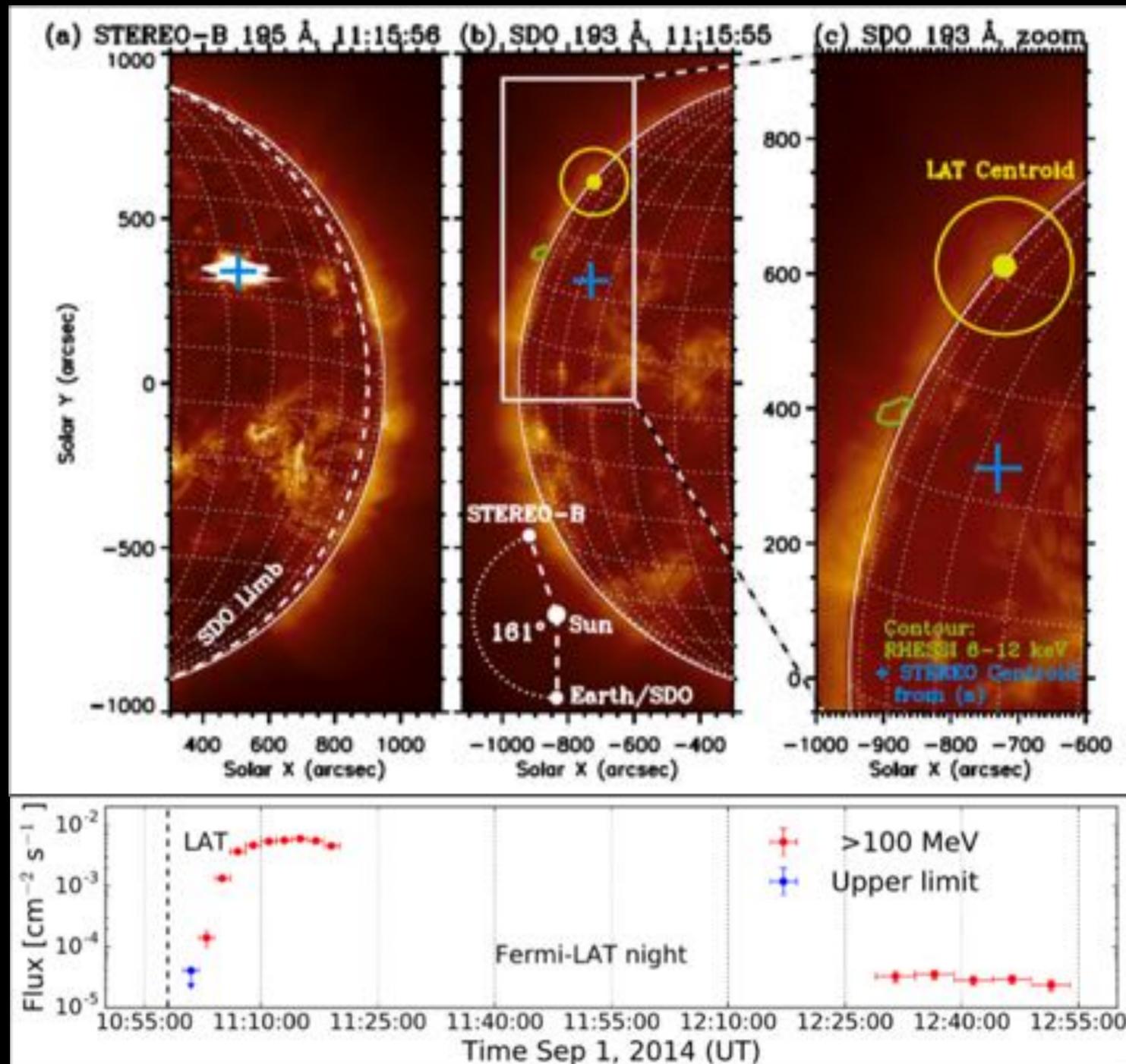
Li et al. (2009) attempted to relate sigma to shock geometry. They showed that the value of sigma is usually in the range of **1 to 2** for **parallel shocks**, but can become as small as **1/5** for **perpendicular shocks**.

- **ACE** connects to the CME-driven shock earlier than STA and STB.
- Both **STA** and **STB** start to contact with the CME-driven shock around **t=2 hours**.
- **ACE** connects to a **quasi-parallel shock** geometry, **STB** more **oblique**, and **STA** likely to a **quasi-perpendicular shock**.

t = 2 hour



# Fermi Behind-the-Limb Event on 2014 September 1

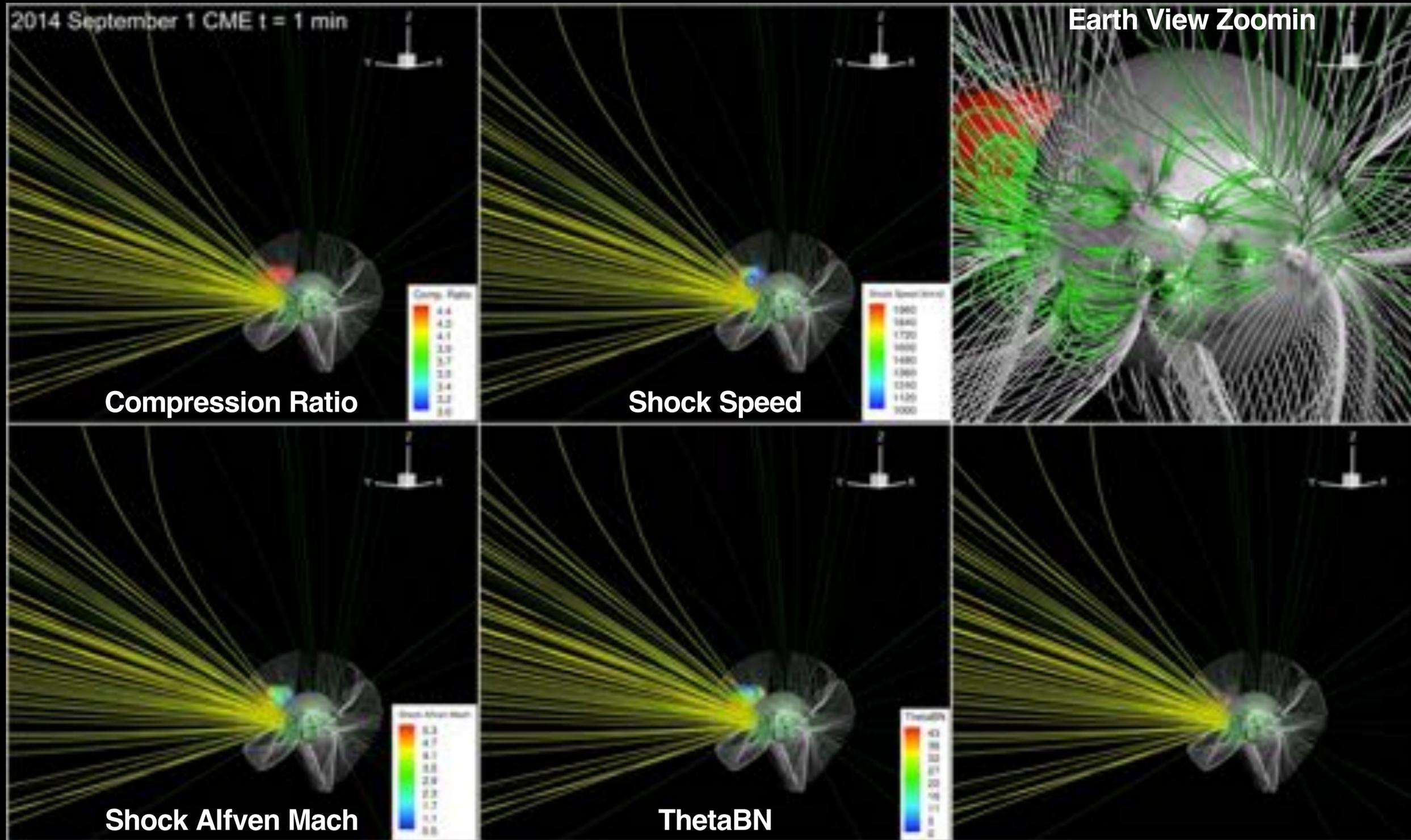


Ackermann et al. 2017

- Behind-the-limb (BTL) gamma-ray flares (up to **100 MeV**) were observed in **solar cycle 21 and 22** (Vestrand & Forrest 1993, Barat et al. 1994, Vilmer et al. 1999).
- There are **3** behind-the-limb (BTL) flares with  **$E > 100 \text{ MeV}$**  observed by **Fermi-LAT** so far:
  - 2013 October 11 (located  **$\sim 10$**  degree behind the eastern limb)
  - 2014 January 6 (located  **$\sim 20$**  degree behind the western limb)
  - **2014 September 1** (located  **$\sim 43$**  degree behind the eastern limb)
- **Fermi-LAT** detected emission from this flare **on the front side of the Sun** for  **$\sim 2 \text{ hr}$** , peaking between **11:10-11:15 UT**.
- The September 1 event is also associated with a fast **CME** with a speed  **$> 1900 \text{ km/s}$** . A Type II radio burst was also detected with an estimated velocity of **2079 km/s** (Pesce-Rollins et al. 2015).
- Cliver et al. (1993) first proposed that the BTL gamma-ray events are caused by particles that are accelerated at **CME-driven shocks** and then propagate back to the visible solar disk.

When, where, and how the particles are accelerated?  
What is the role of CME?

# CME-driven Shock Evolution (Earth View)



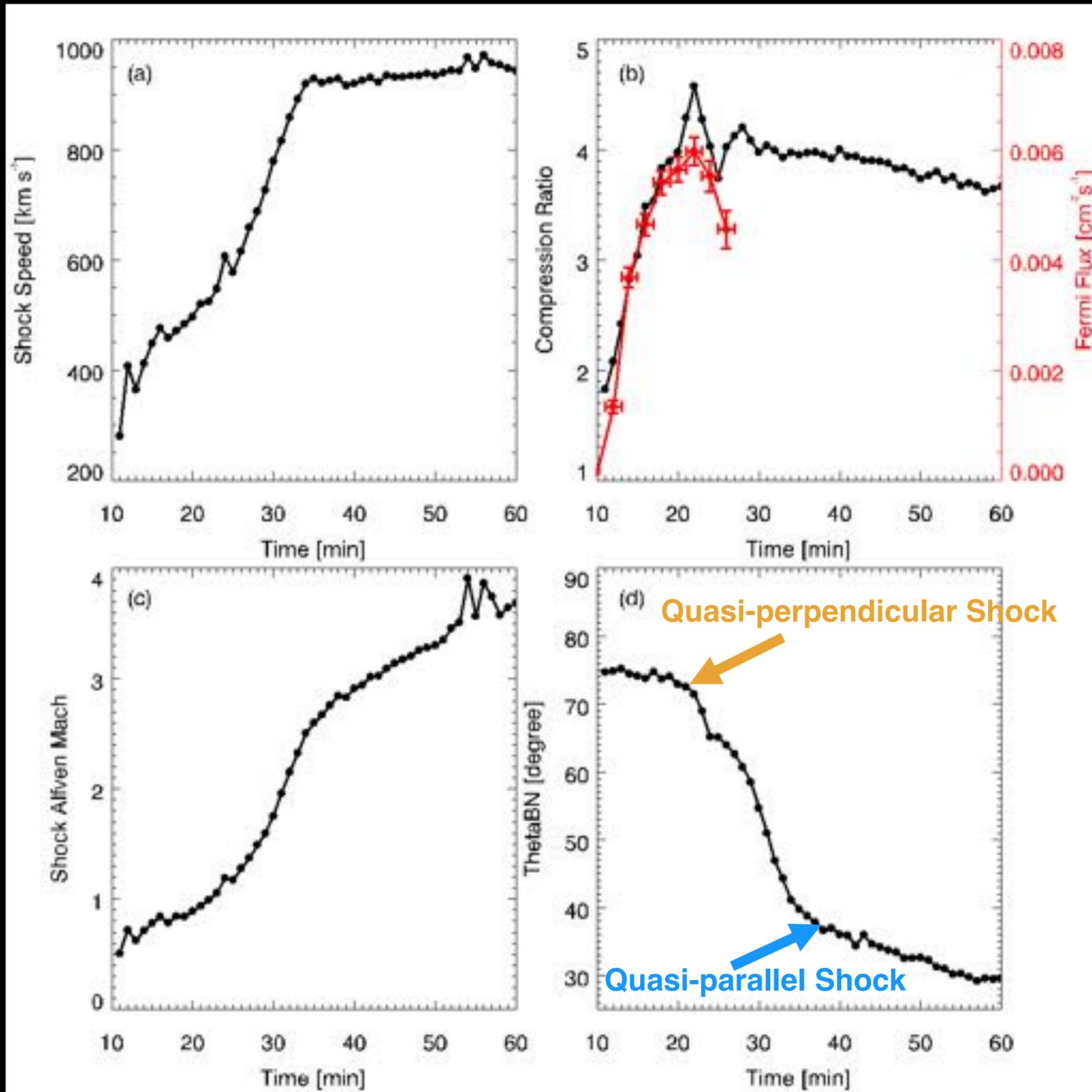
**Yellow:**  
Open field near the Fermi-LAT Gamma-ray emission region connected to the CME-driven shock.

**Red :**  
Flux Rope field lines

**White :**  
Large-scale helmet streamers.

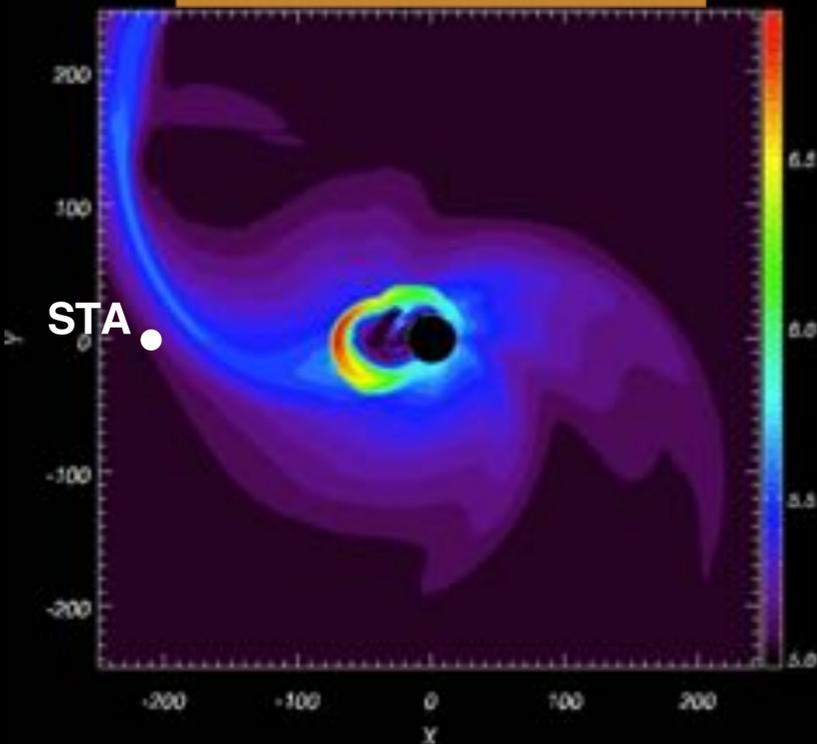
**Green:**  
Surrounding active regions and open field lines.

# Shock Parameter Evolution



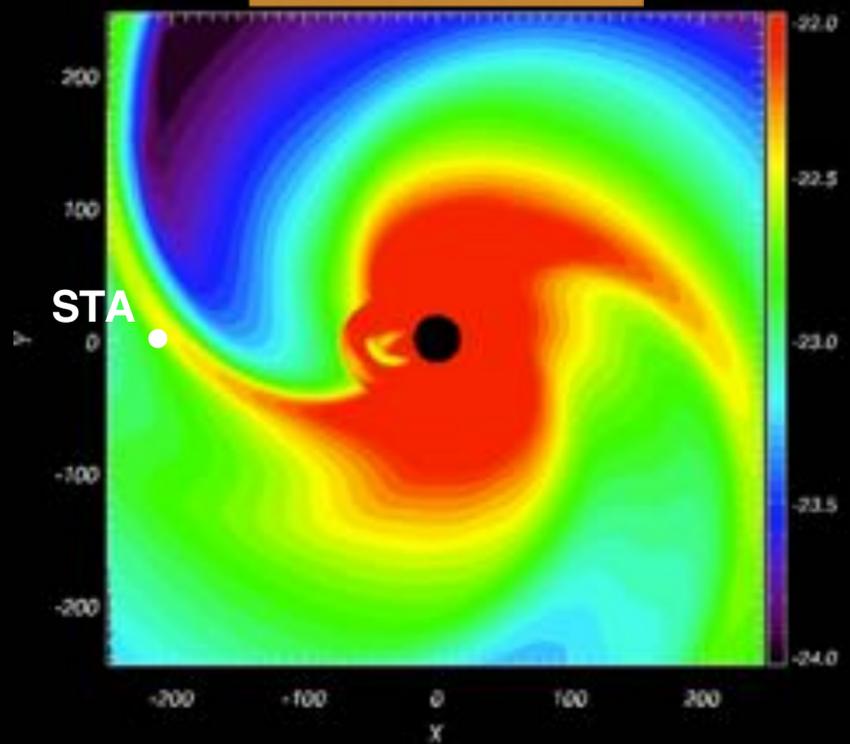
- We obtain the shock parameters averaged over the portion of the **shock surface that is connected back to the visible side of the Sun** and track their temporal evolution.
- Shock compression ratio increases rapidly from **~1.8 at 10 minutes** to **~4.6 at 20 minutes** and then gradually decreases to **~3.7 at 60 minutes**. This evolution trend is similar to the Fermi/LAT gamma-ray intensity profile (Ackermann et al. 2017).
- The shock changes from a **quasi-perpendicular shock** (before t = 30 minutes) to a **quasi-parallel shock** at t = 60 minutes.
- The **mirror ratio** ( $B_{\text{sun}}/B_{\text{shock}}$ ) in the simulation is **~10 to ~100** within 1 hour, which suggests a large fraction of the downstream GeV protons can reach the photosphere within the emission duration (Petrosian 2016).

Proton Temperature



nx= 72496, l, t= 282084, time= 8h40m00s

Proton Density

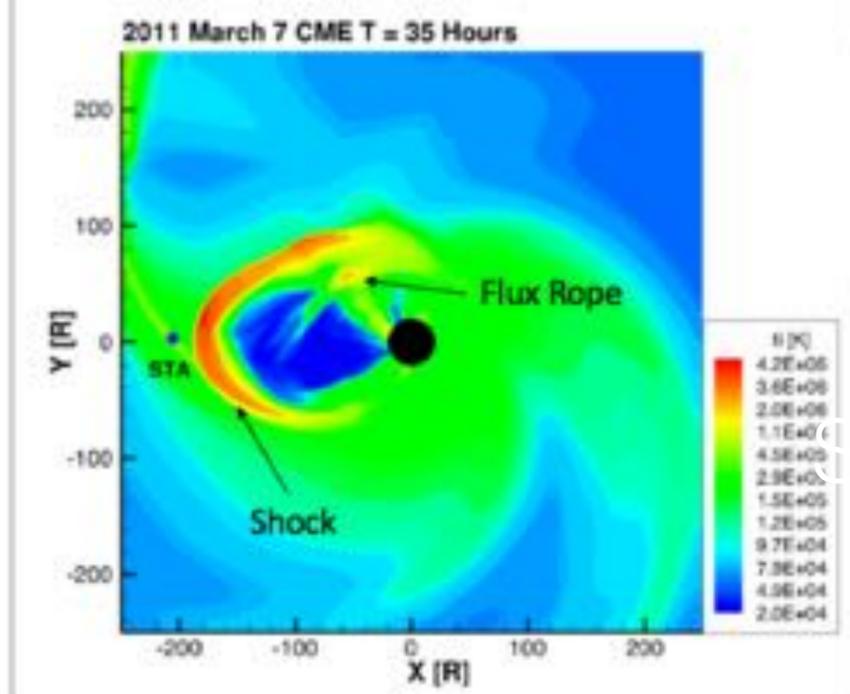


nx= 72496, l, t= 282084, time= 8h40m00s

# CME Evolution in the Heliosphere (2011 March 7 Event)

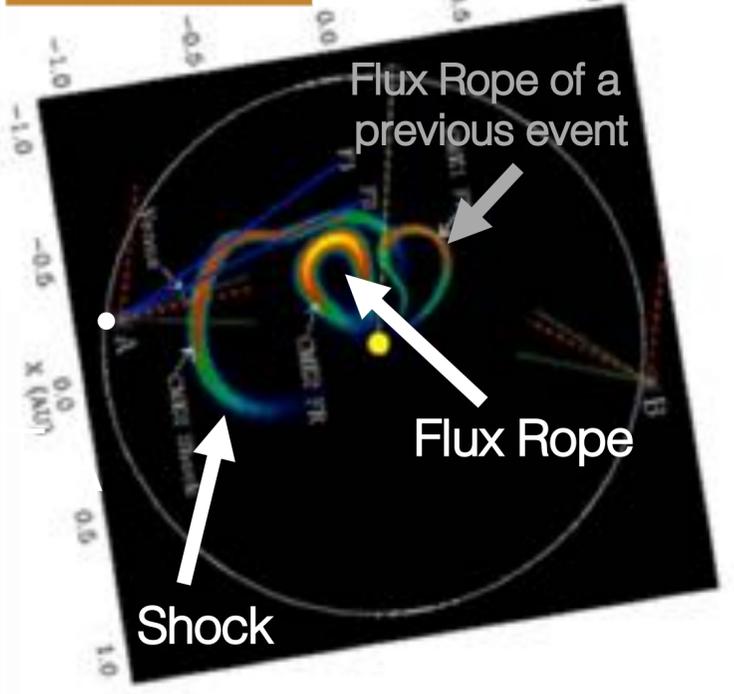
- The **shock-CIR interaction** acts as shock-shock collisions (e.g., CME-CME interaction; *Lugaz et al. 2008, Shen et al. 2011*) and will amplify the magnetic fields, plasma temperature, and density of the CIR.
- **CME deflection** (e.g., *Gopalswamy et al. 2009, Lugaz et al. 2011, Kay et al. 2013*): Evident both in the observation and in the simulation. The CME-driven shock expands into the coronal hole's fast outflow and travels far from the ejecta where it is observed by STA.
- Although initially **driven by the CME flux rope** close to the Sun, the shock toward STA becomes detached from the driver in the heliosphere and has features similar to a **blast wave**, which is consistent with observations (*Wood et al. 2012*).

Model



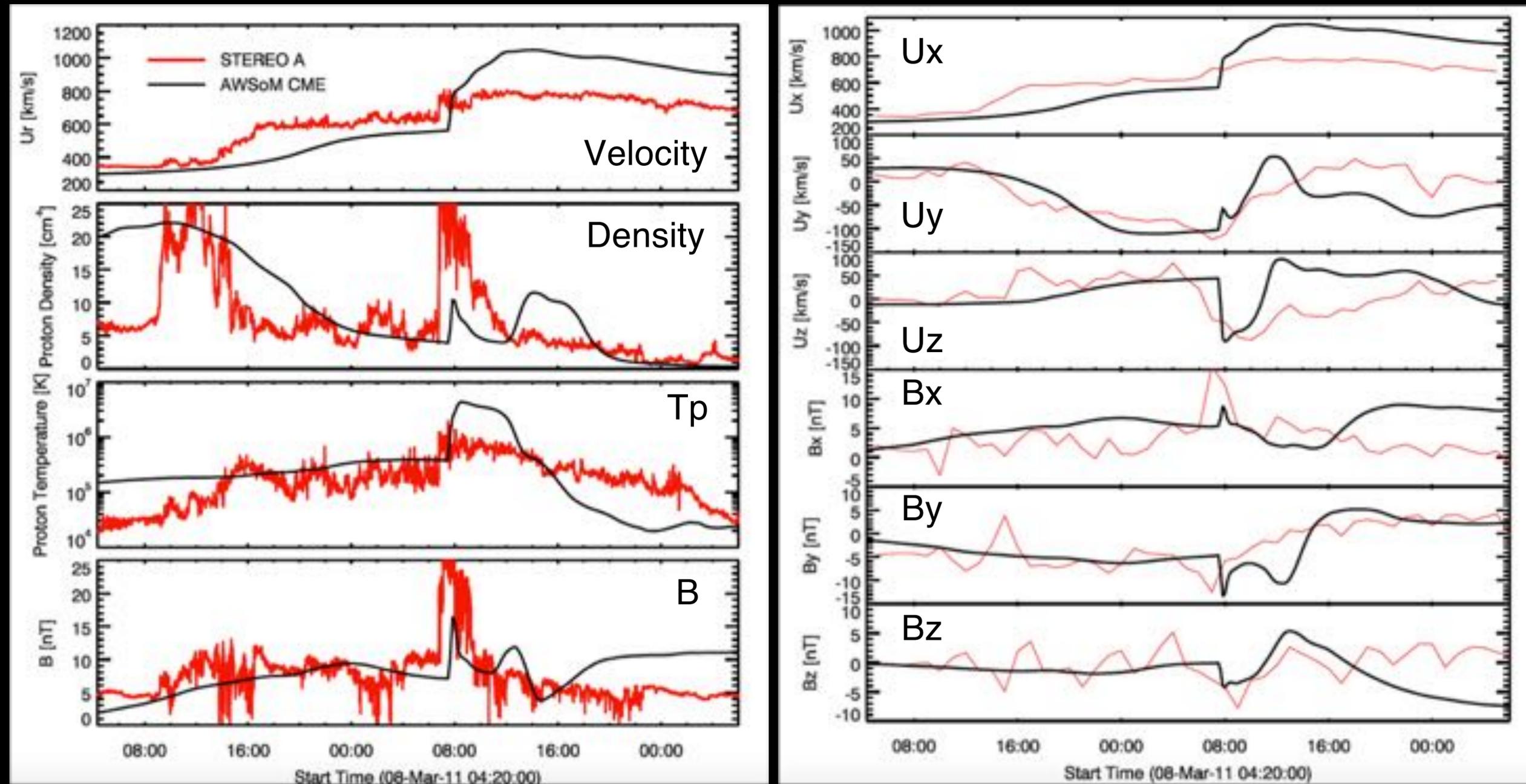
Simulation *Jin et al. 2017a*

Observation

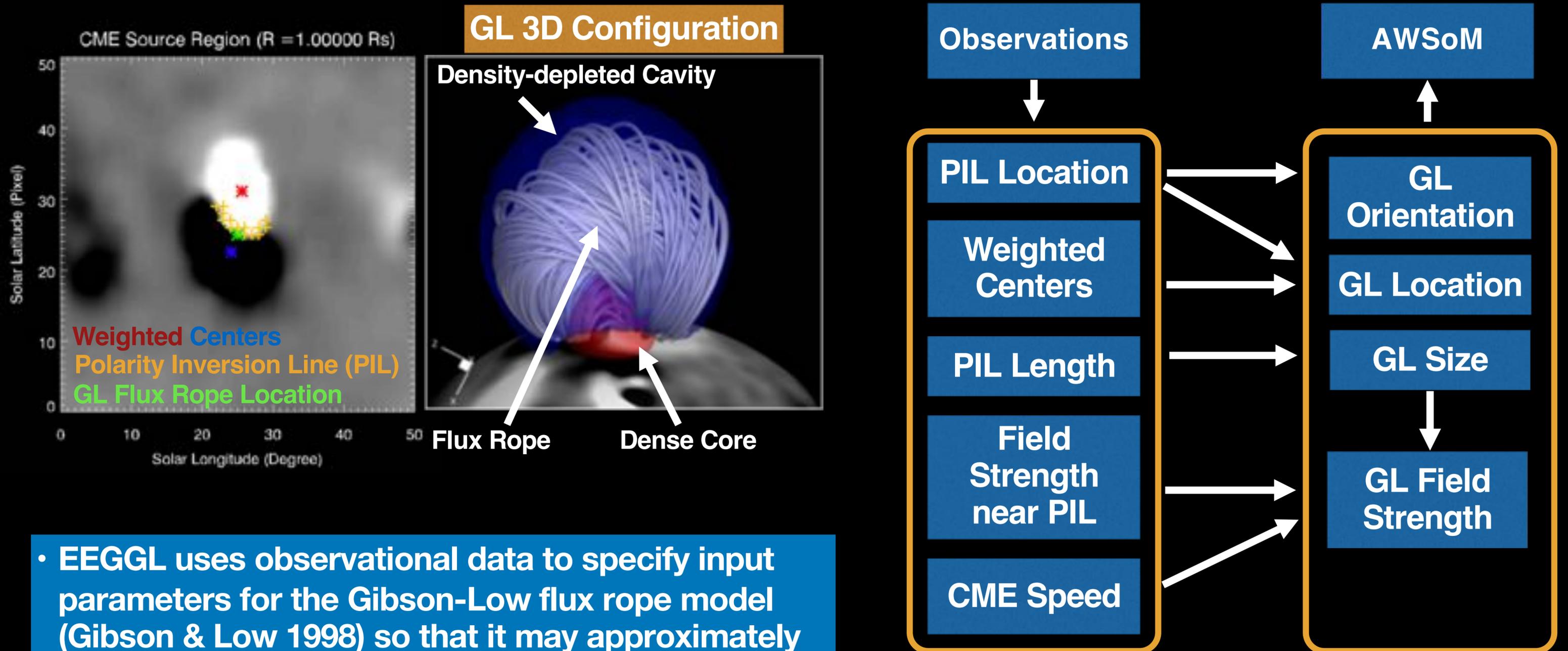


Observation *Wood et al. 2012*

# 1 AU Comparison (2011 March 7)



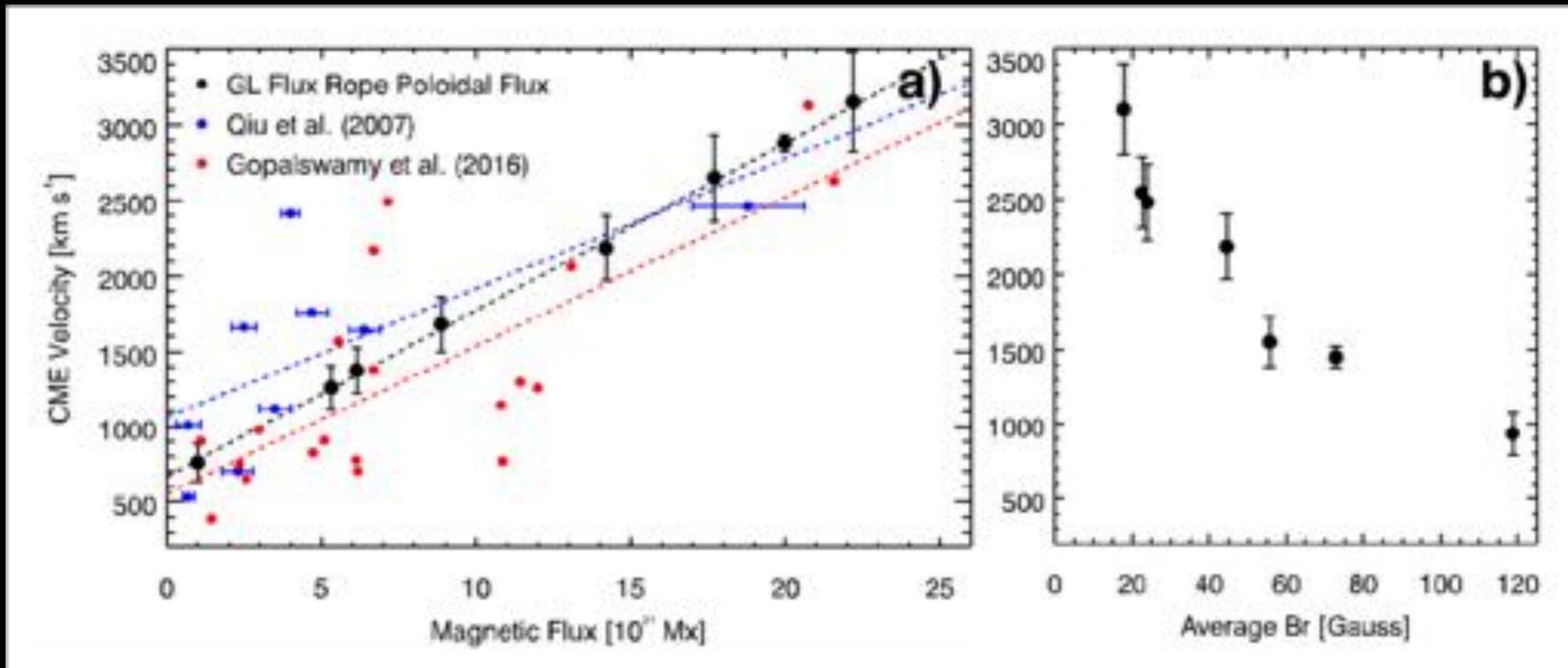
# EEGGL: Eruptive Event Generator (Gibson and Low)



- EEGGL uses observational data to specify input parameters for the Gibson-Low flux rope model (Gibson & Low 1998) so that it may approximately reproduce observed CME events.

(Jin et al. 2017b)

More information: <https://ccmc.gsfc.nasa.gov/eeggl/>

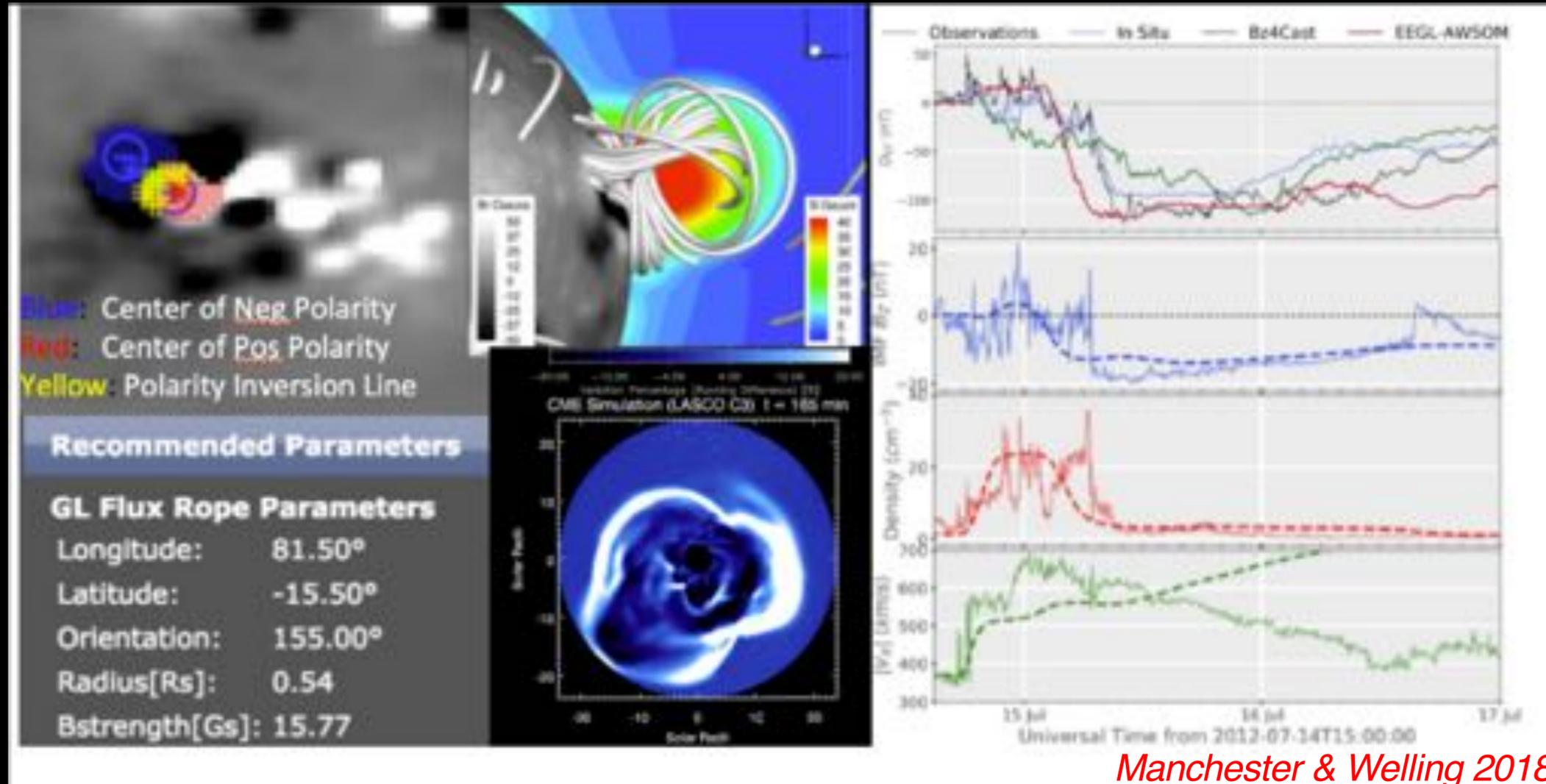


- With different GL radius/strength parameters, a linear relationship is found between the flux rope **poloidal flux** and the **CME speed** near the Sun.
- With the same flux rope parameters, the **CME speed** is inversely related to the **average Br** around the PIL of the active region.

# Model Validation & Future Development

2012 July 12 Event Simulation using EEGGL

Extensive Events Run



Manchester & Welling 2018

CME Simulations using EEGGL during Solar Cycle 23 and 24. A discussion about EEGGL

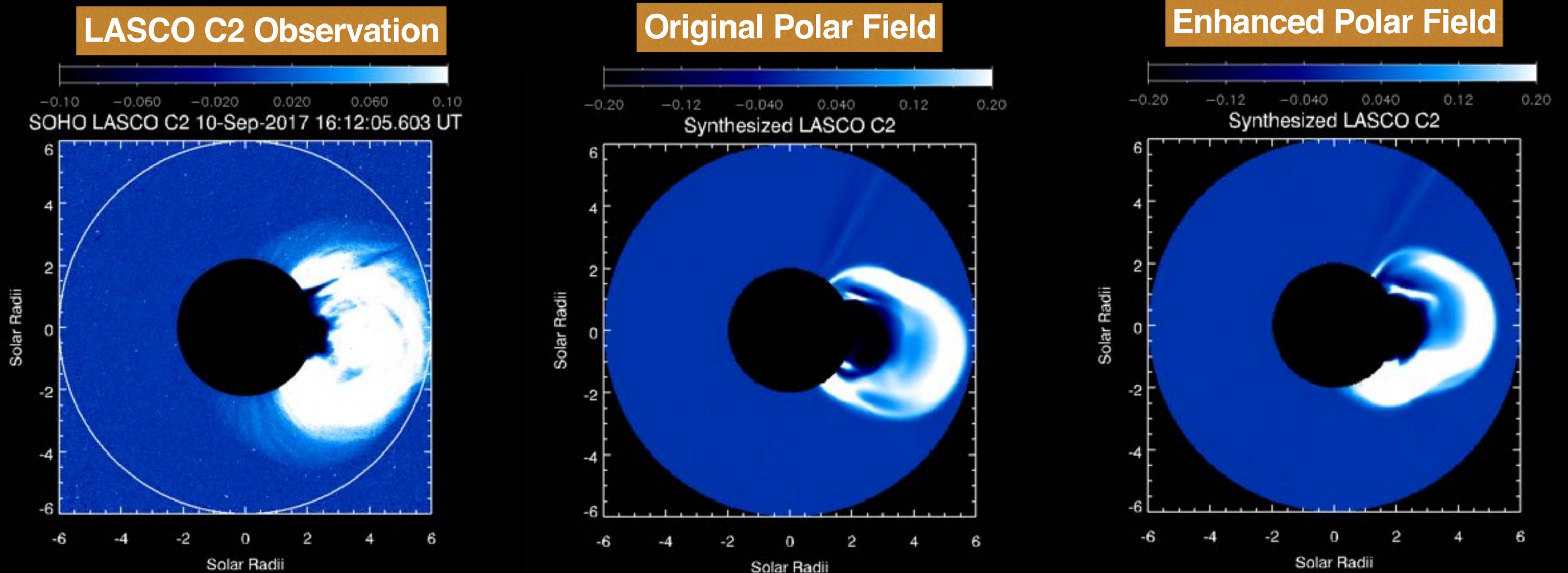
First C2 Appearance (UT)	Plane Class	Plane Location	Linear Speed (km/s)	White Light Comparison	Synthetic WL Movie	Remarks	
19971109 06:00:00	R1.1	S14W15	323	Observation	Simulation	Movie	NA
19971109 12:00:00	R1.9	S14W11	235	Observation	Simulation	Movie	NA
19990229 02:00:00	R2.2	S12W07	257	Observation	Simulation	Movie	Increased flux rope size
20001108 23:00:00	R1.6	S10W17	178	Observation	Simulation	Movie	Location wrong PL
20010829 03:30:00	R2.9	R08E22	240	Observation	Simulation	Movie	NA
20011207 05:30:00	R1.7	S08W14	188	Observation	Simulation	Movie	NA
20020602 01:27:00	R1.7	S14W34	233	Observation	Simulation	Movie	NA
20020828 01:27:00	R1.1	S08W10	287	Observation	Simulation	Movie	Increased flux rope size
20030328 11:30:00	R1.7	S10E14	183	Observation	Simulation	Movie	NA
20031208 18:20:00	R2.5	R08E1	200	Observation	Simulation	Movie	No LASCO observation
20051202 02:00:00	R1.1	S00W12	177	Observation	Simulation	Movie	NA
20060601 13:42:00	C1.1	S08E16	87	Observation	Simulation	Movie	NA
20110217 02:00:00	R2.2	S14W11	267	Observation	Simulation	Movie	NA
20110809 08:12:00	R0.6	S14W11	117	Observation	Simulation	Movie	NA
20110809 08:12:00	R2.9	S17W08	160	Observation	Simulation	Movie	Increased flux rope size
20110908 20:00:00	R2.1	S14W18	171	Observation	Simulation	Movie	NA
20110912 10:24:00	R1.3	S12W17	187	Observation	Simulation	Movie	Increased flux rope size
20111128 05:12:00	C1.1	S11W17	93	Observation	Simulation	Movie	Filament Eruption
20120119 18:30:00	R1.6	S08E14	116	Observation	Simulation	Movie	NA
20120202 00:24:00	R2.6	S17E17	264	Observation	Simulation	Movie	NA
20120212 17:24:00	R1.9	S14W11	284	Observation	Simulation	Movie	NA
20120619 18:12:00	R1.9	S17W08	167	Observation	Simulation	Movie	NA
20120712 16:48:00	R1.6	S17W08	167	Observation	Simulation	Movie	NA
20120828 00:12:00	C1.0	S09W14	847	Observation	Simulation	Movie	NA
20130517 07:12:00	R1.1	S09W02	193	Observation	Simulation	Movie	NA
20130611 07:24:00	R0.3	S10E01	81	Observation	Simulation	Movie	NA
20130527 01:24:00	R1.2	S17W11	180	Observation	Simulation	Movie	NA
20130529 01:24:00	R1.9	S10E1	2147	Observation	Simulation	Movie	NA
20130802 12:24:00	R0.6	S10E4	147	Observation	Simulation	Movie	Increased flux rope size
20130818 13:25:00	R1.3	S14W12	120	Observation	Simulation	Movie	NA
20130920 18:00:00	R1.8	S10E02	120	Observation	Simulation	Movie	NA
20131107 18:24:00	R1.6	S10E11	79	Observation	Simulation	Movie	NA
20130310 01:48:00	C1.1	S17W18	717	Observation	Simulation	Movie	Increased flux rope size
20130608 23:36:00	...	...	82	Observation	Simulation	Movie	Filament Eruption
20130620 08:36:00	R1.9	S11W14	167	Observation	Simulation	Movie	NA
20131104 14:48:00	R1.7	S10W04	17	Observation	Simulation	Movie	CME speed to 100 km/s corrected for projection
20130808 12:00:00	R1.3	S09W17	183	Observation	Simulation	Movie	LASCO Coring not available
20130810 12:36:00	R1.2	S09W1	200	Observation	Simulation	Movie	LASCO Coring not available

- More validation studies are being conducted at the moment. The results will be used to improve the current EEGGL module.
- New development (e.g., **autonomous source region identification**) is on-going.

# Summary

- The first-principles-based MHD global models play an important role in understanding the fundamental physical processes of CME propagation and interaction in the heliosphere. Although still very challenging, it shows promising potential to provide space weather forecast in the near future.
- **Data-driven Models:** The flux rope is self-consistently formed in the simulation driven by the electric or magnetic fields from observations (e.g., Cheung et al. 2012, Jiang et al. 2016).
- **More Observations:**
  - Coronal magnetic field/plasma measurements (erupting flux rope structure)
  - L5/polar mission (more coverage of surface magnetic field)

# CME Comparison in White Light

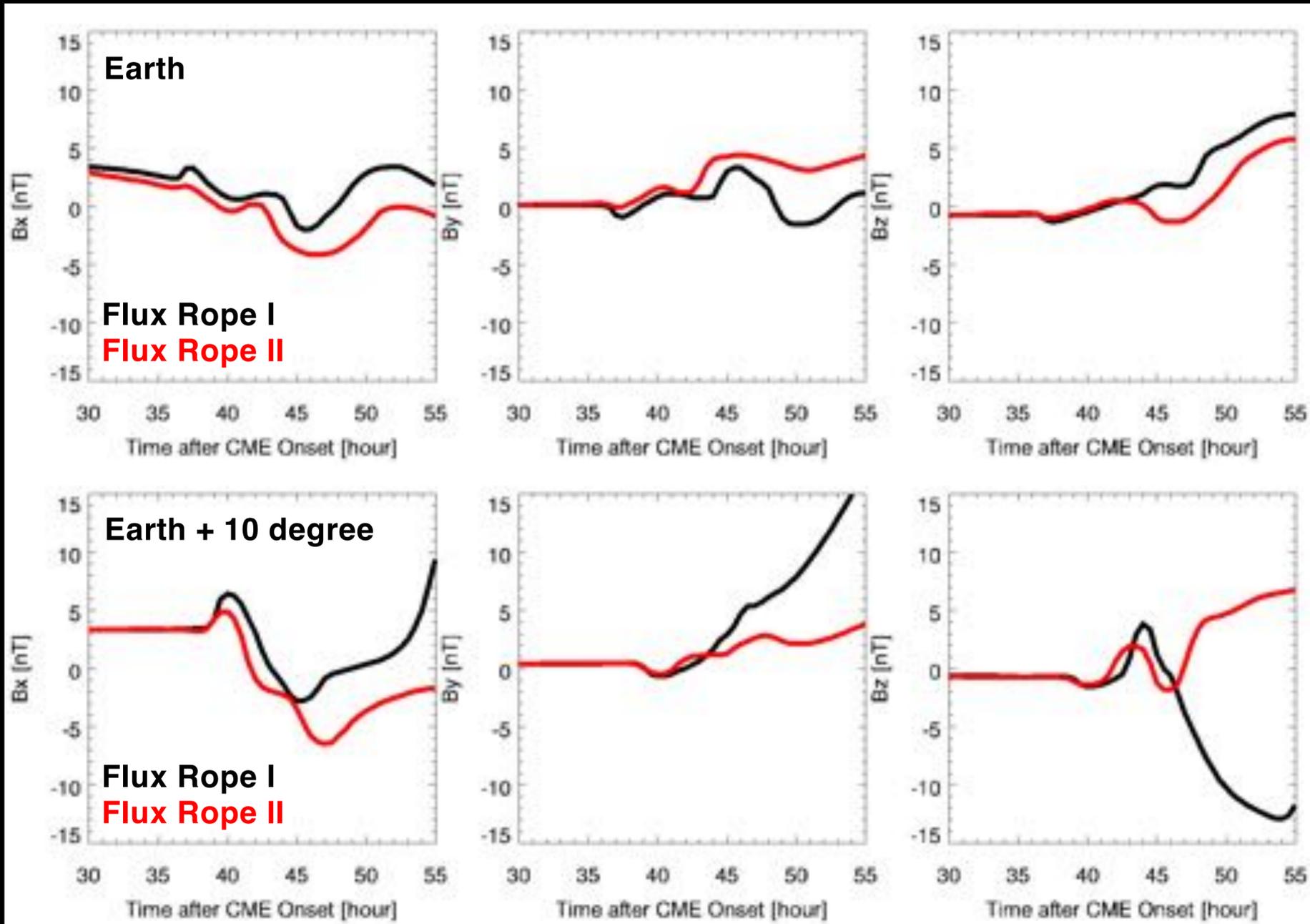


- With enhanced polar field, the CME direction is changed and more consistent with observation.
- **The polar field can significantly influence the CME propagation in the simulation.** We need accurate polar field observations for better modeling and space weather forecasting.

# Summary

- The first-principles-based MHD global models play an important role in understanding the fundamental physical processes of CME propagation and interaction in the heliosphere. Although still very challenging, it shows promising potential to provide space weather forecast in the near future.
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  - L5/polar mission (more coverage of surface magnetic field)
  - Sub-L1 constellation mission (better understanding of magnetic flux rope structure)

# Why Multiple in-situ Measurements are Important



- Global MHD simulation of two flux ropes from the Sun to 1 AU by Alfvén wave solar model (**AWSoM**; van der Holst et al. 2014) and **Gibson-Low** flux rope. The two flux ropes differ in **orientation** and **helicity**.
- The profiles of magnetic field at Earth are very similar. However, **10** degree ahead of Earth location, the profiles are dramatically different.
- Lugaz et al. (2018) found that the in-situ measurement of CMEs can be quite different in some cases when satellites are separated by 0.01 AU.

To have multiple in-situ measurements around Earth location, we could get a better global picture of magnetic flux rope structure!

# Summary

- The first-principles-based MHD global models play an important role in understanding the fundamental physical processes of CME propagation and interaction in the heliosphere. Although still very challenging, it shows promising potential to provide space weather forecast in the near future.
- **Data-driven Models:** The flux rope is self-consistently formed in the simulation driven by the electric or magnetic fields from observations (e.g., Cheung et al. 2012, Jiang et al. 2016).
- **More Observations:**
  - Coronal magnetic field/plasma measurements (erupting flux rope structure)
  - L5/polar mission (more coverage of surface magnetic field)
  - Sub-L1 constellation mission (better understanding of magnetic flux rope structure)
- **How these “missing data” influence our modeling capability needs to be understand:**
  - *How are the modeling of the large-scale magnetic configuration and the resulting solar wind parameters affected by the limited observational coverage of the Sun?*
  - *How do the modeled CME properties depend on the different ambient solar wind and CME flux rope models?*